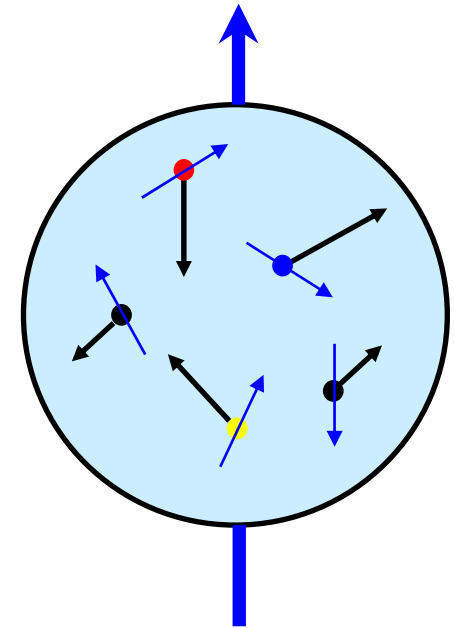


# Spin and $k_{\perp}$ dependent parton distributions

- a closer look at the nucleon structure -  
(with the help of antiprotons)

- integrated partonic distributions
- the missing piece, **transversity**
- partonic intrinsic motion (**TMD**)
- **spin** and  $k_{\perp}$ : transverse Single Spin Asymmetries
- SSA in SIDIS and p-p, p-pbar inclusive processes
  - conclusions



# $K_{\perp}$ integrated parton distributions

$q, \Delta q$  and  $h_1$  (or  $\delta q, \Delta_T q$ ) are fundamental leading-twist quark distributions depending on longitudinal momentum fraction  $x$

$q = q_+ + q_-$  quark distribution – well known

$\Delta q = q_+ - q_-$  quark helicity distribution – known

$\Delta_T q = q_{\uparrow} - q_{\downarrow}$  transversity distribution – unknown

$\Delta g = g_+ - g_-$  gluon helicity distribution – poorly known

all equally important

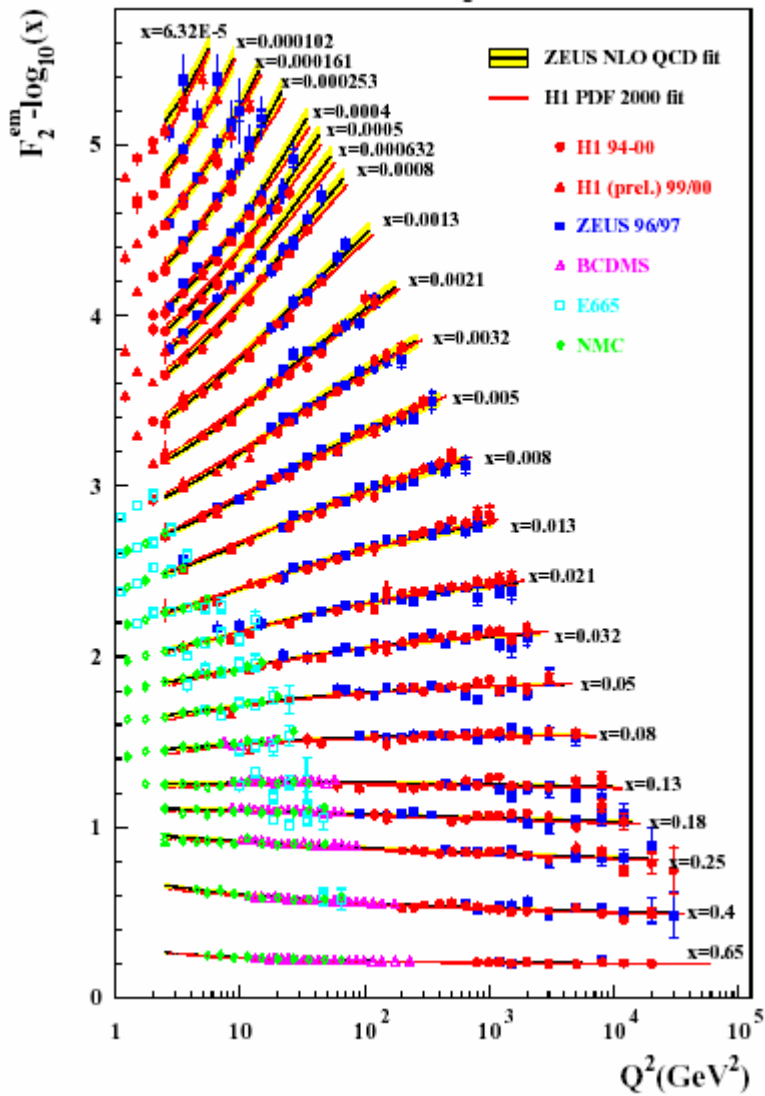
$\Delta q$  related to  $\bar{q} \gamma^{\mu} \gamma_5 q$   $\Rightarrow$  chiral-even

$\Delta_T q$  related to  $\bar{q} \sigma^{\mu\nu} \gamma_5 q$   $\Rightarrow$  chiral-odd

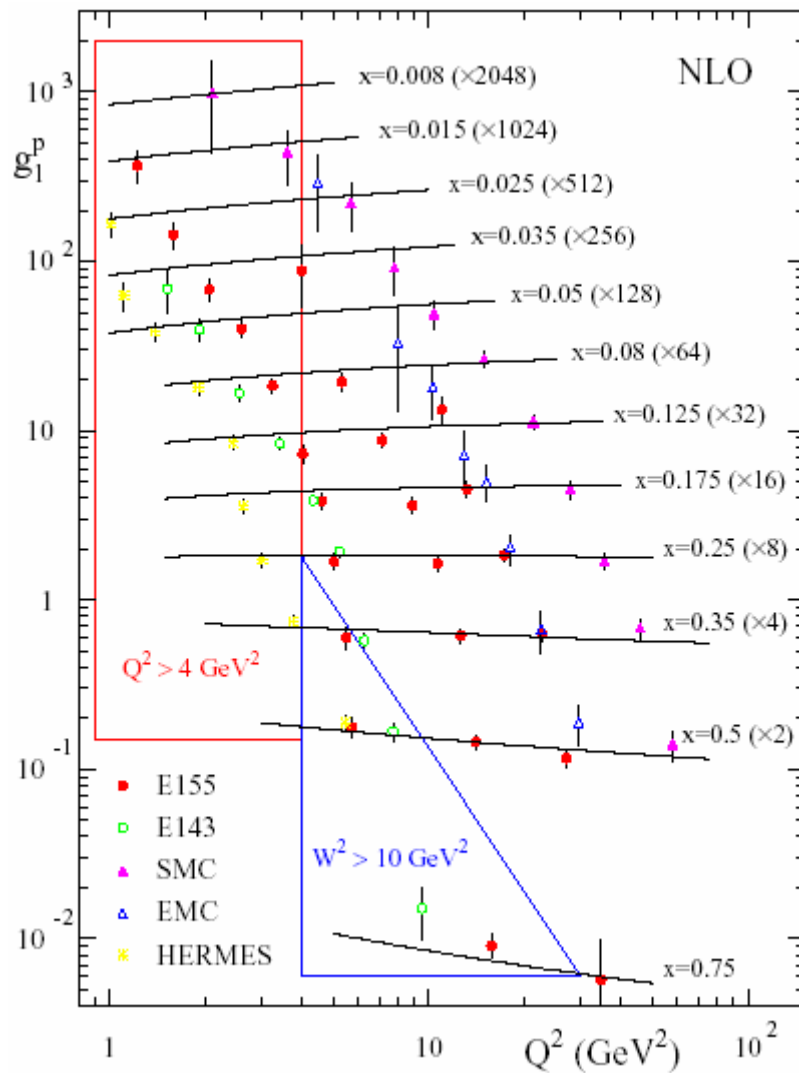
$$2 |\Delta_T q| \leq q + \Delta q$$

positivity bound

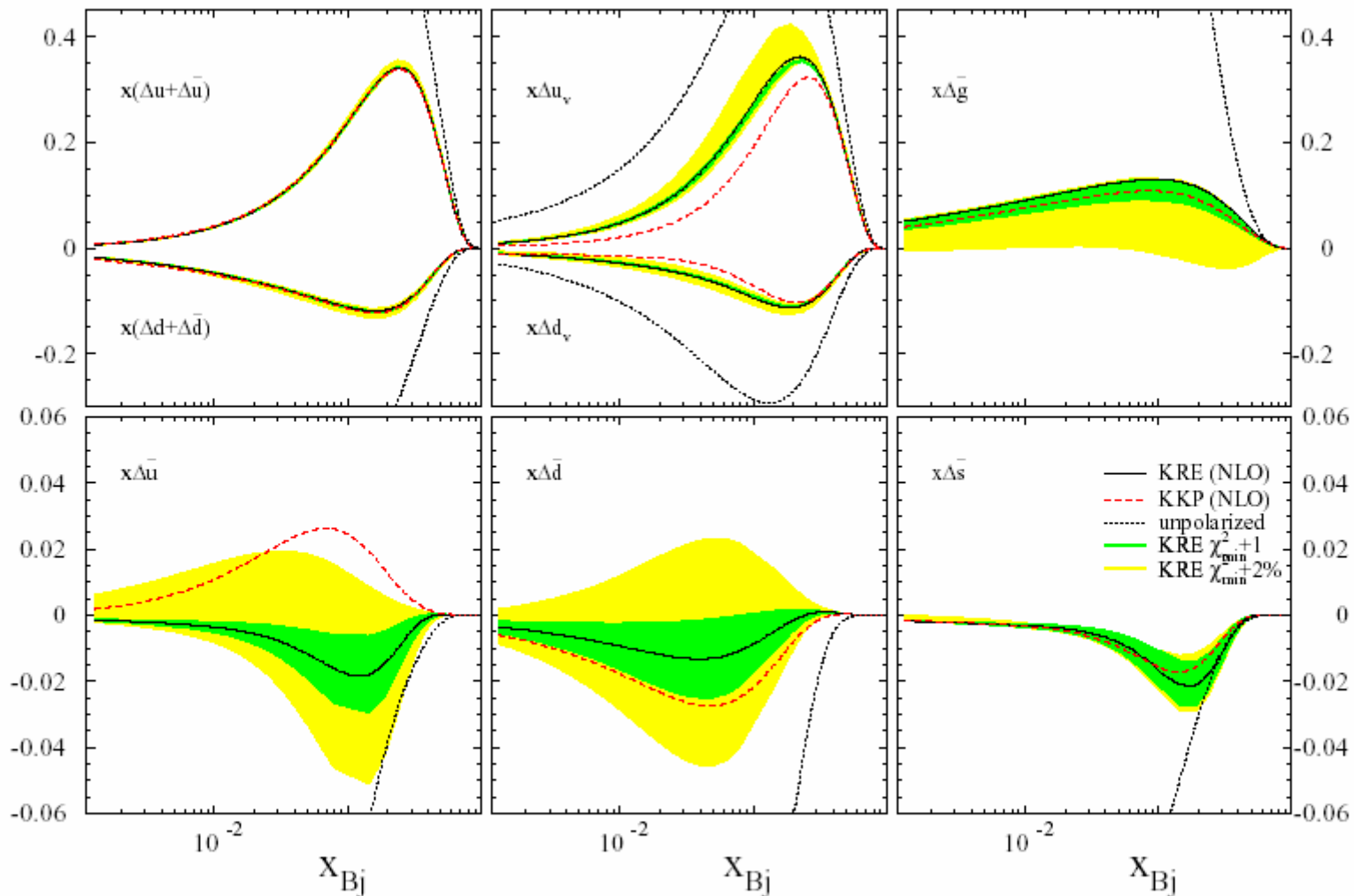
### HERA $F_2$



$$\Rightarrow q(x, Q^2)$$



$$\Rightarrow \Delta q(x, Q^2)$$



**FIGURE 2.** Parton densities at  $Q^2 = 10 \text{ GeV}^2$ , and the uncertainty bands corresponding to  $\Delta\chi^2 = 1$  and  $\Delta\chi^2 = 2\%$

# Research Plan for Spin Physics at RHIC

February 11, 2005

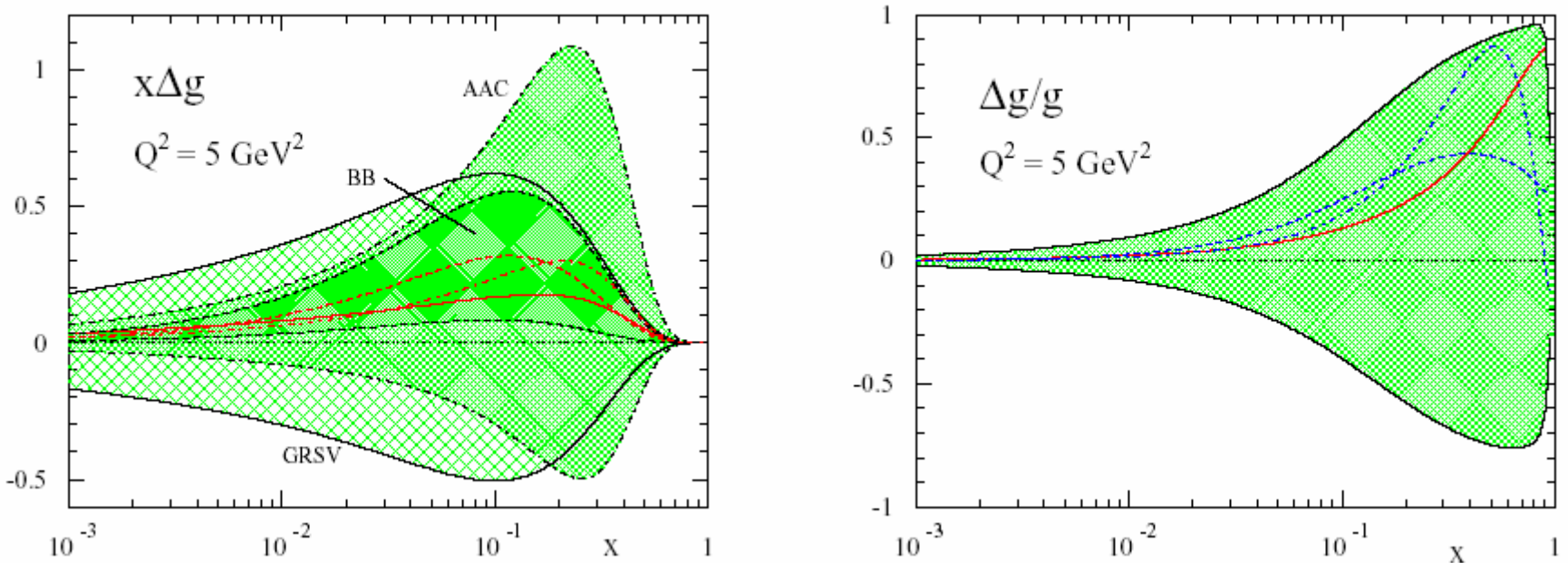


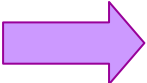
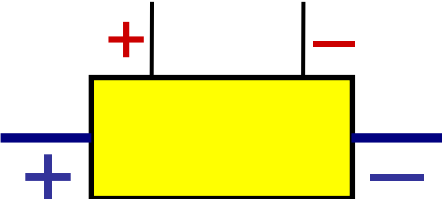
Figure 11: *Left: results for  $\Delta g(x, Q^2 = 5 \text{ GeV}^2)$  from recent NLO analyses [1, 2, 36] of polarized DIS. The various bands indicate ranges in  $\Delta g$  that were deemed consistent with the scaling violations in polarized DIS in these analyses. The rather large differences among these bands partly result from differing theoretical assumptions in the extraction, for example, regarding the shape of  $\Delta g(x)$  at the initial scale. Note that we show  $x\Delta g$  as a function of  $\log(x)$ , in order to display the contributions from various  $x$ -regions to the integral of  $\Delta g$ . Right: the “net gluon polarization”  $\Delta g(x, Q^2)/g(x, Q^2)$  at  $Q^2 = 5 \text{ GeV}^2$ , using  $\Delta g$  of [2] and its associated band, and the unpolarized gluon distribution of [82].*

# The missing piece, transversity

$$\begin{array}{c}
 \text{+} \\
 \text{-}
 \end{array}
 \begin{array}{c}
 \text{+} \\
 \text{-}
 \end{array}
 = \begin{array}{c}
 q(x, Q^2) \\
 \Delta q(x, Q^2)
 \end{array}$$

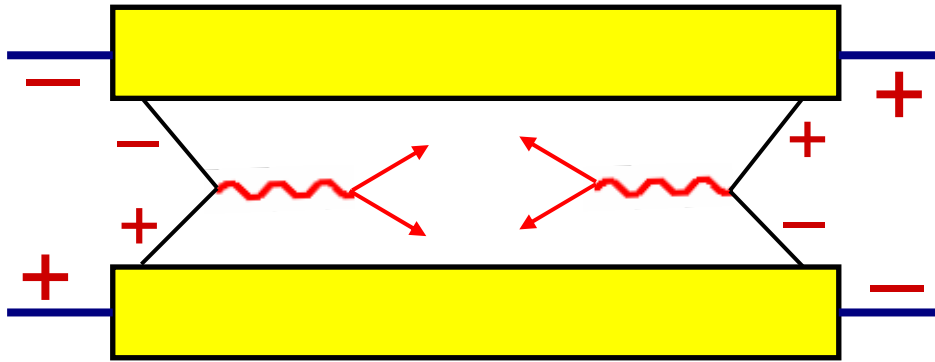
$$\begin{array}{c}
 \text{+} \\
 \text{-}
 \end{array}
 = \begin{array}{c}
 q(x, Q^2) \\
 \Delta_T q(x, Q^2)
 \end{array}$$

in helicity basis  $\uparrow\downarrow = \frac{1}{\sqrt{2}}(|+\rangle \pm i|-\rangle)$


 $h_1(x, Q^2) =$ 

decouples from DIS  
(no quark helicity flip)

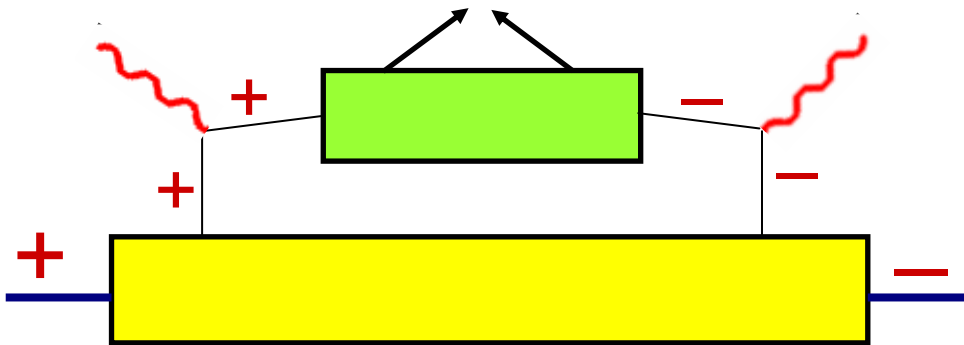
$h_1$  must couple to another chiral-odd function. For example

$D - Y$ ,  $p p \rightarrow l^+ l^- X$ , and SIDIS,  $l p \rightarrow l \pi X$



$h_1 \times h_1$

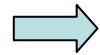
J. Ralston and D.Soper, 1979  
J. Cortes, B. Pire, J. Ralston,  
1992



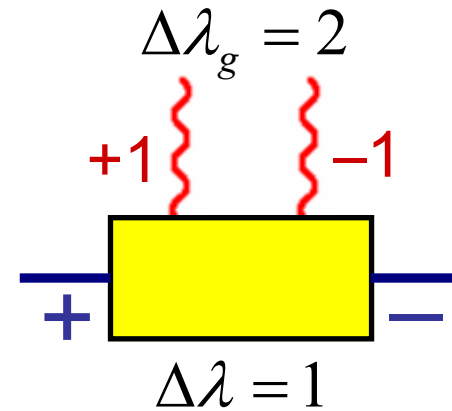
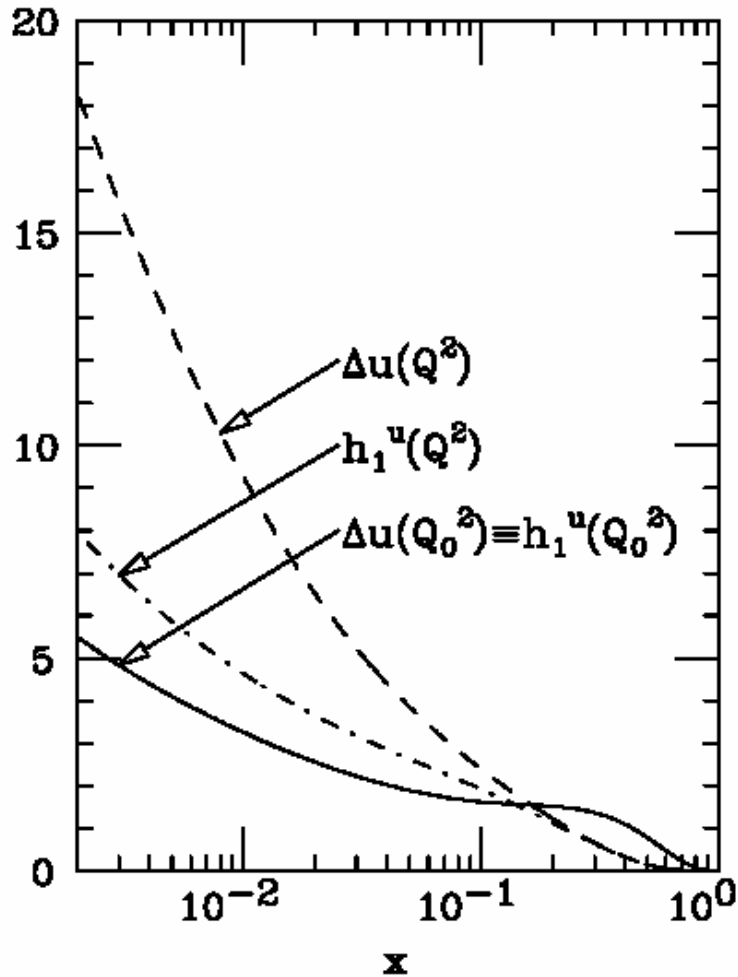
$h_1 \times$  Collins  
function

J. Collins, 1993

No gluon contribution to  $h_1$



simple  $Q^2$  evolution

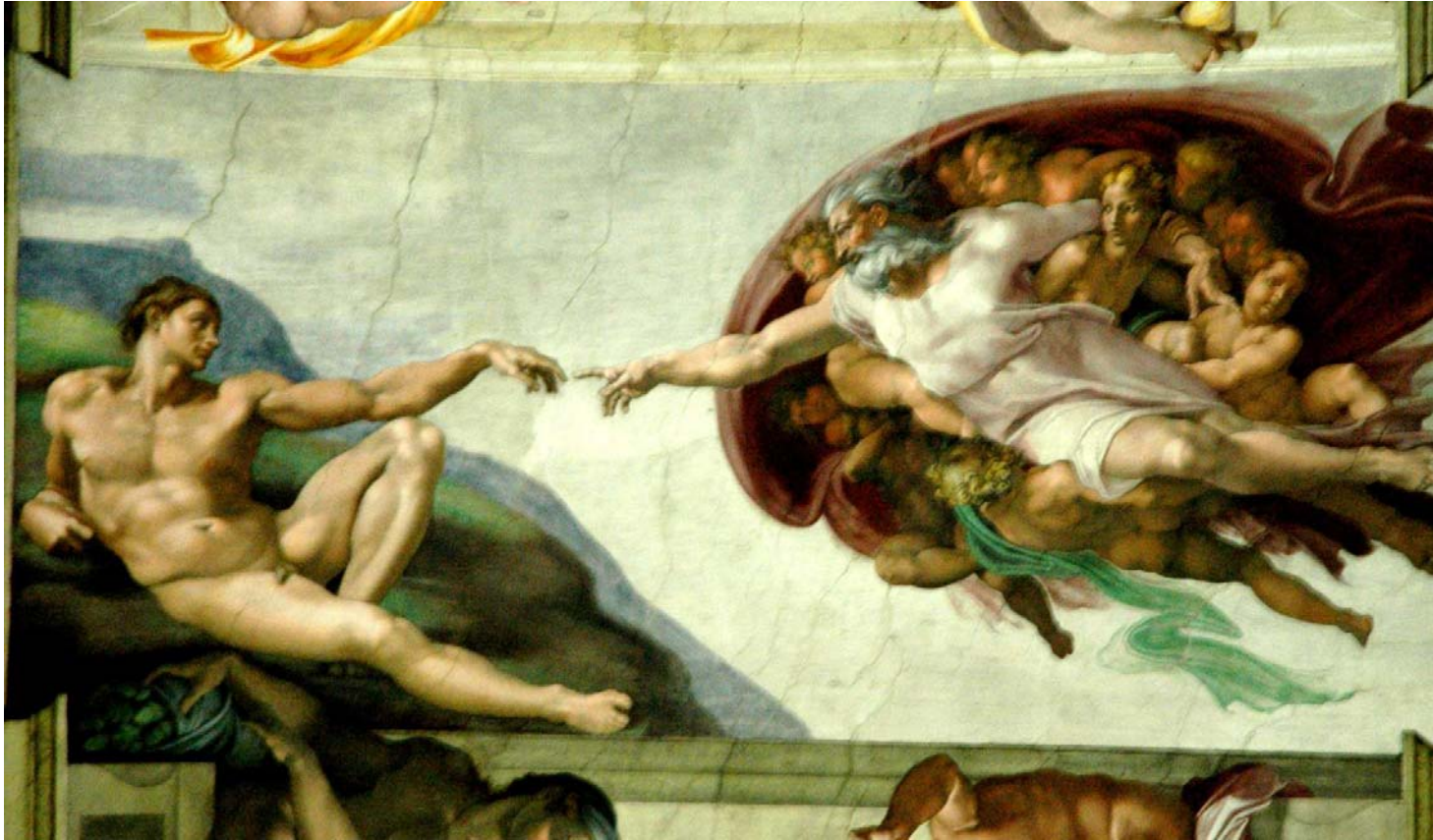


$$Q^2 = 25 \text{ GeV}^2$$

$$Q_0^2 = 0.23 \text{ GeV}^2$$

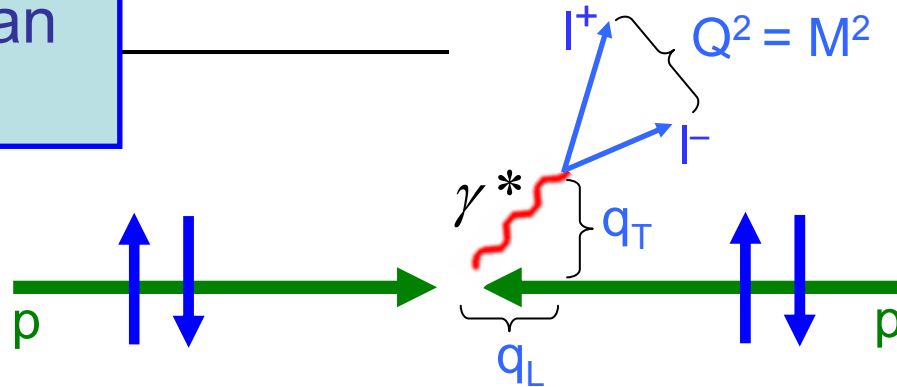
V. Barone, T. Calarco, A. Drago





First ever “transversity”, according to [Gary Goldstein](#),  
QCD-N06, Villa Mondragone, June 15, 2006

$h_1$  in Drell-Yan processes



Elementary LO interaction:

$$q\bar{q} \rightarrow \gamma^* \rightarrow l^+l^-$$

$$\frac{d^2\sigma}{dM^2 dx_F} = \frac{4\pi\alpha^2}{9M^2 s} \frac{1}{x_1 + x_2} \sum_a e_a^2 [q_a(x_1)\bar{q}_a(x_2) + \bar{q}_a(x_1)q_a(x_2)]$$

$$x_F = x_1 - x_2 \quad x_1 x_2 = M^2 / s \equiv \tau \quad x_F = 2q_L / \sqrt{s}$$

**3 planes:** plane  $\perp$  to polarization vectors,  
 $p - \gamma^*$  plane,  $l^+ - l^-$  plane



plenty of spin effects

$h_1$  from  $p^\uparrow p^\uparrow \rightarrow l^+ l^- X$  at RHIC

$$A_{TT} = \frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}}{d\sigma^{\uparrow\uparrow} + d\sigma^{\uparrow\downarrow}} = \hat{a}_{TT} \frac{\sum_q e_q^2 [h_{1q}(x_1)h_{1\bar{q}}(x_2) + h_{1\bar{q}}(x_1)h_{1q}(x_2)]}{\sum_q e_q^2 [q(x_1)\bar{q}(x_2) + \bar{q}(x_1)q(x_2)]}$$

$$\hat{a}_{TT} = \frac{d\hat{\sigma}^{\uparrow\uparrow} - d\hat{\sigma}^{\uparrow\downarrow}}{d\hat{\sigma}^{\uparrow\uparrow} + d\hat{\sigma}^{\uparrow\downarrow}} = \frac{\sin^2 \mathcal{G}}{1 + \cos^2 \mathcal{G}} \cos(2\varphi)$$

RHIC energies:  $\sqrt{s} = 200 \text{ GeV}$   $M^2 \leq 100 \text{ GeV}^2$

➔  $\tau \leq 2 \cdot 10^{-3}$  small  $x_1$  and/or  $x_2$

$h_{1q}(x, Q^2)$  evolution much slower than  
 $\Delta q(x, Q^2)$  and  $q(x, Q^2)$  at small  $x$

➔  $A_{TT}$  at RHIC is very small  
smaller  $s$  would help

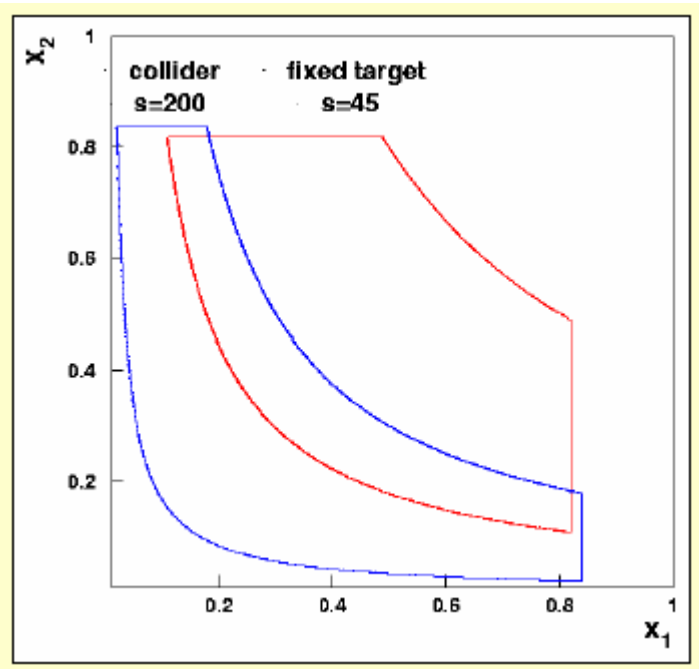
Barone, Calarco, Drago  
Martin, Schäfer, Stratmann, Vogelsang

$h_1$  from  $p^\uparrow \bar{p}^\uparrow \rightarrow l^+ l^- X$  at GSI

$$A_{TT} = \hat{a}_{TT} \frac{\sum_q e_q^2 [h_{1q}(x_1)h_{1q}(x_2) + h_{1\bar{q}}(x_1)h_{1\bar{q}}(x_2)]}{\sum_q e_q^2 [q(x_1)q(x_2) + \bar{q}(x_1)\bar{q}(x_2)]} \approx \hat{a}_{TT} \frac{h_{1u}(x_1)h_{1u}(x_2)}{u(x_1)u(x_2)}$$

large  $x_1, x_2$

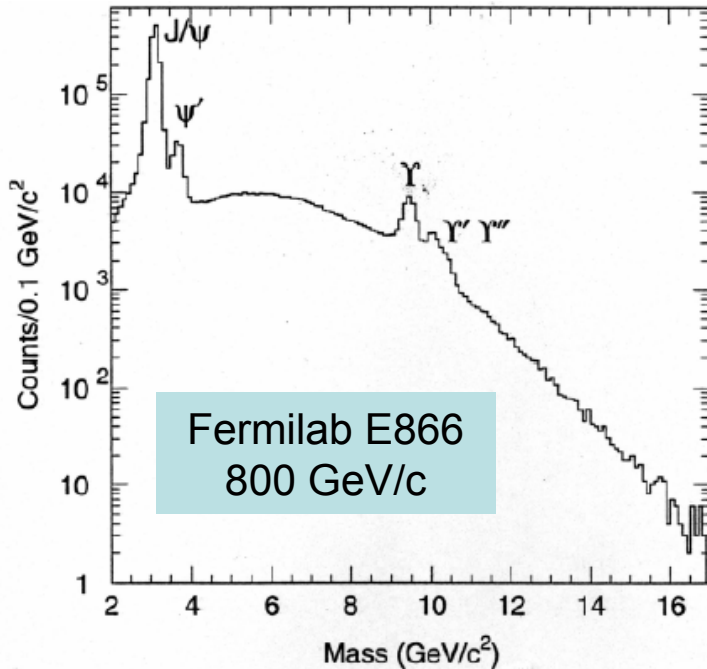
GSI energies:  $s = 30 - 210 \text{ GeV}^2$      $M \geq 2 \text{ GeV}^2$



one measures  $h_1$  in the quark valence region:  $A_{TT}$  is estimated to be large, between 0.2 and 0.4

PAX proposal: hep-ex/0505054

# Energy for Drell-Yan processes



"safe region":  $M \geq M_{J/\Psi}$



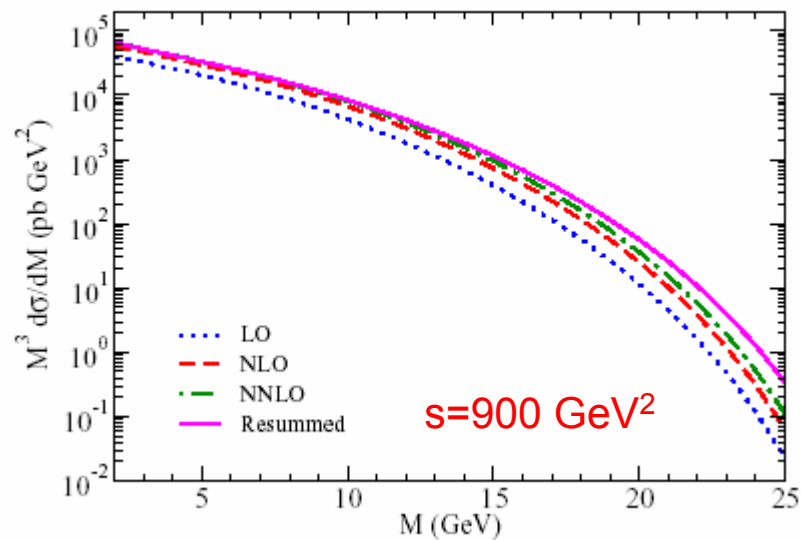
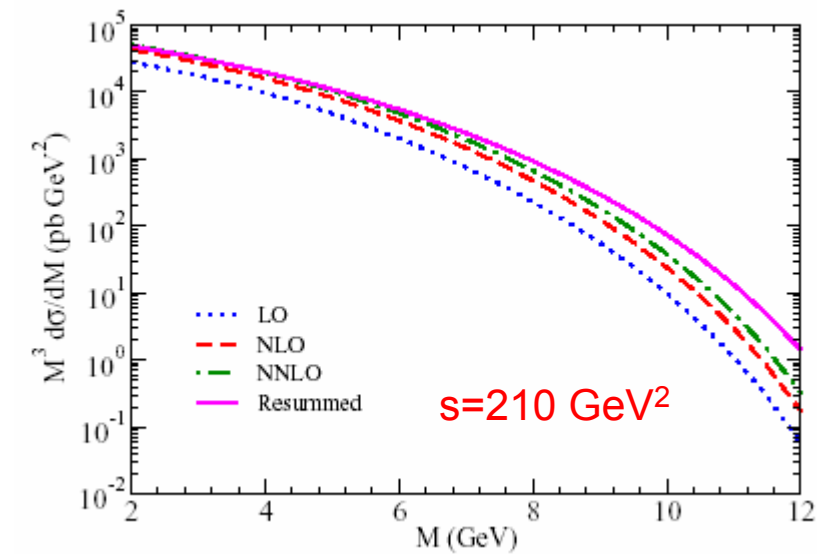
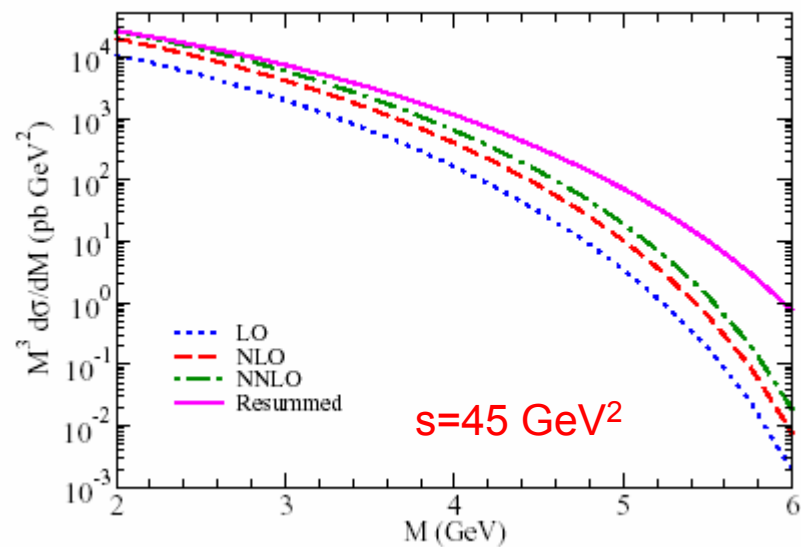
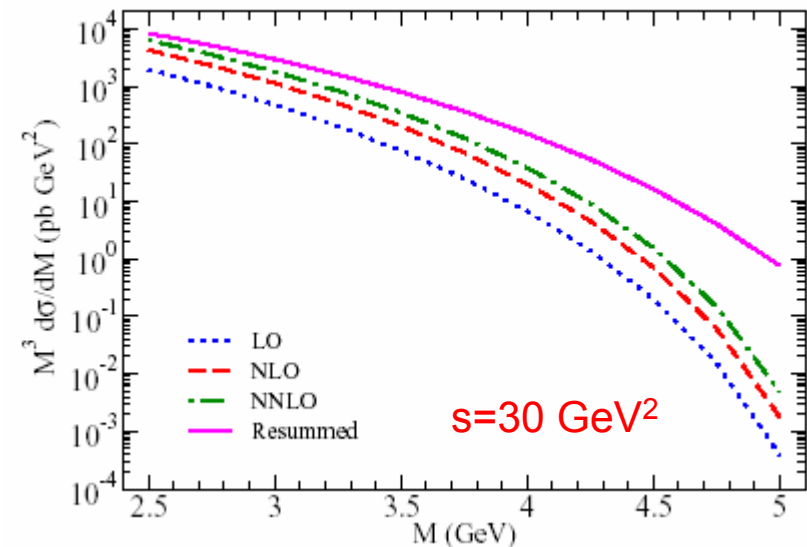
$$\tau \geq \frac{M^2_{J/\Psi}}{S}$$

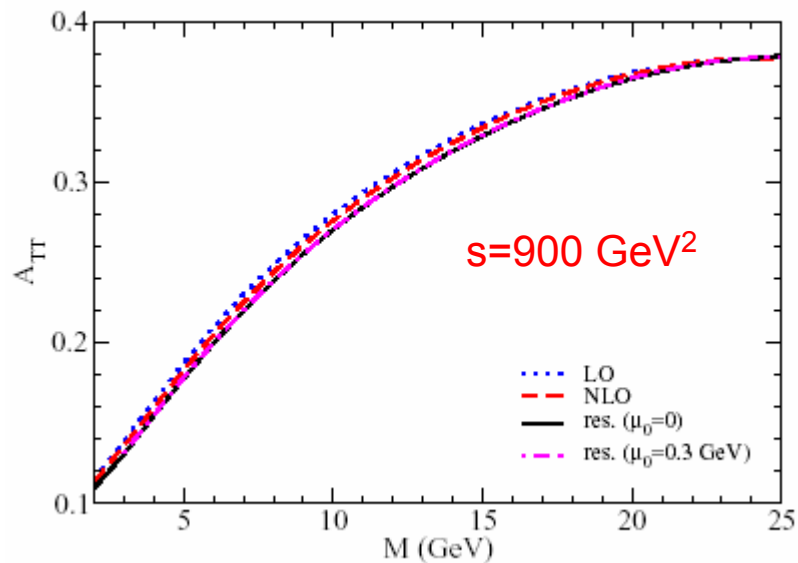
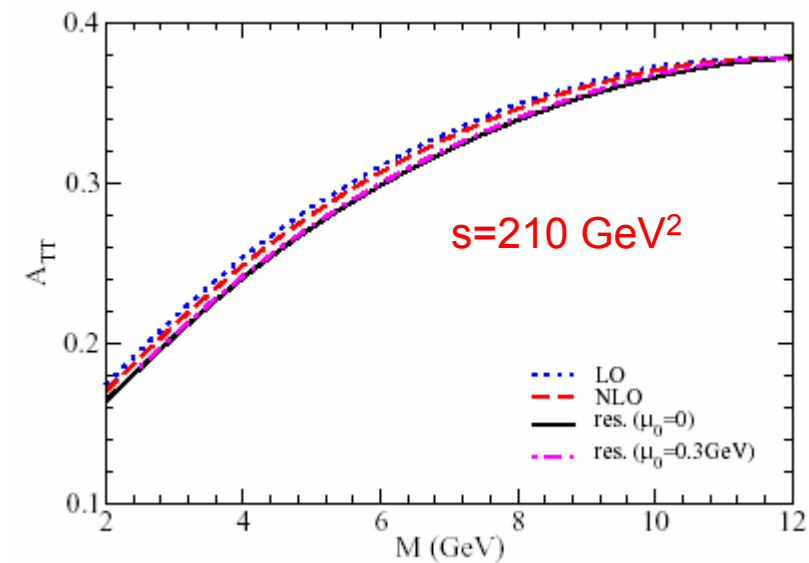
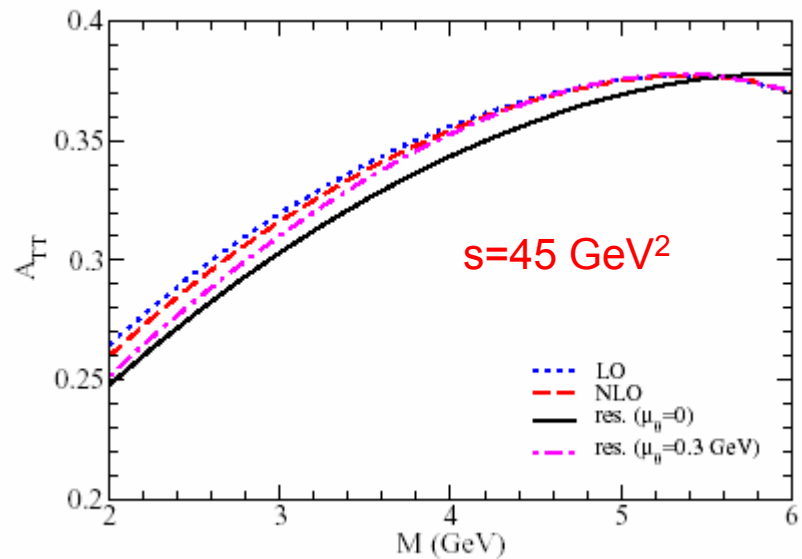
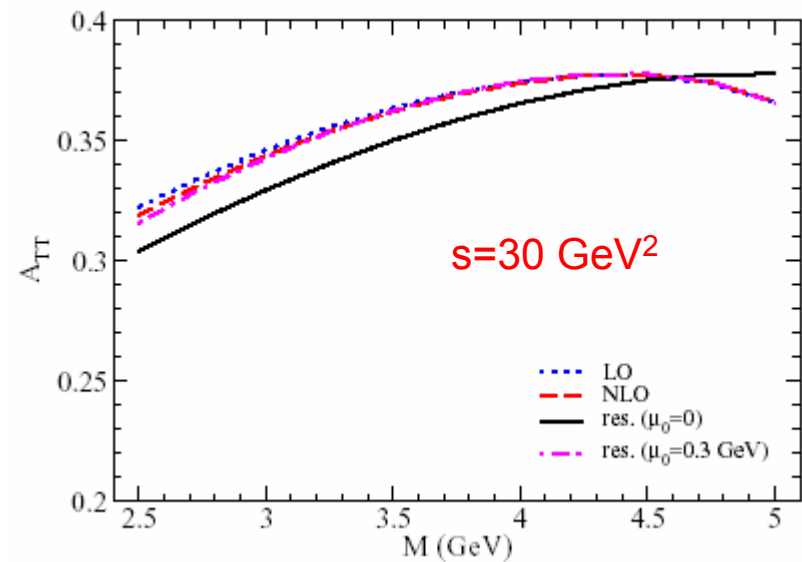
QCD corrections might be very large at smaller values of  $M$ :

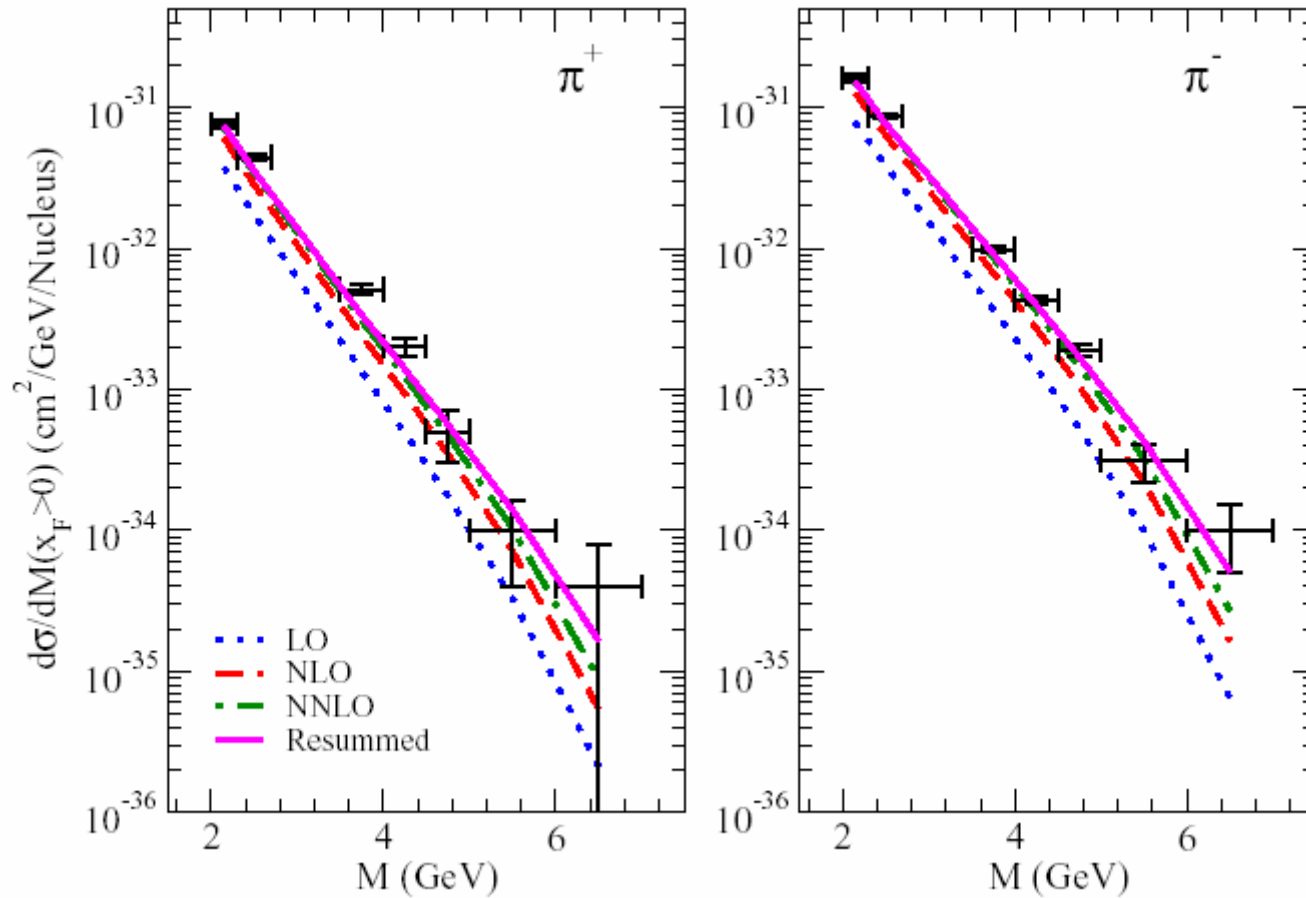
yes, for cross-sections, not for  $A_{TT}$   
K-factor almost spin-independent

H. Shimizu, G. Sterman, W. Vogelsang and H. Yokoya

M. Guzzi, V. Barone, A. Cafarella, C. Corianò and P.G. Ratcliffe







data from CERN WA39,  $\pi$  N processes,  $s = 80 \text{ GeV}^2$

H. Shimizu, G. Sterman, W. Vogelsang and H. Yokoya



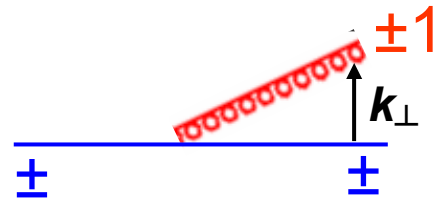
# Partonic intrinsic motion

Plenty of theoretical and experimental evidence for transverse motion of partons within nucleons and of hadrons within fragmentation jets

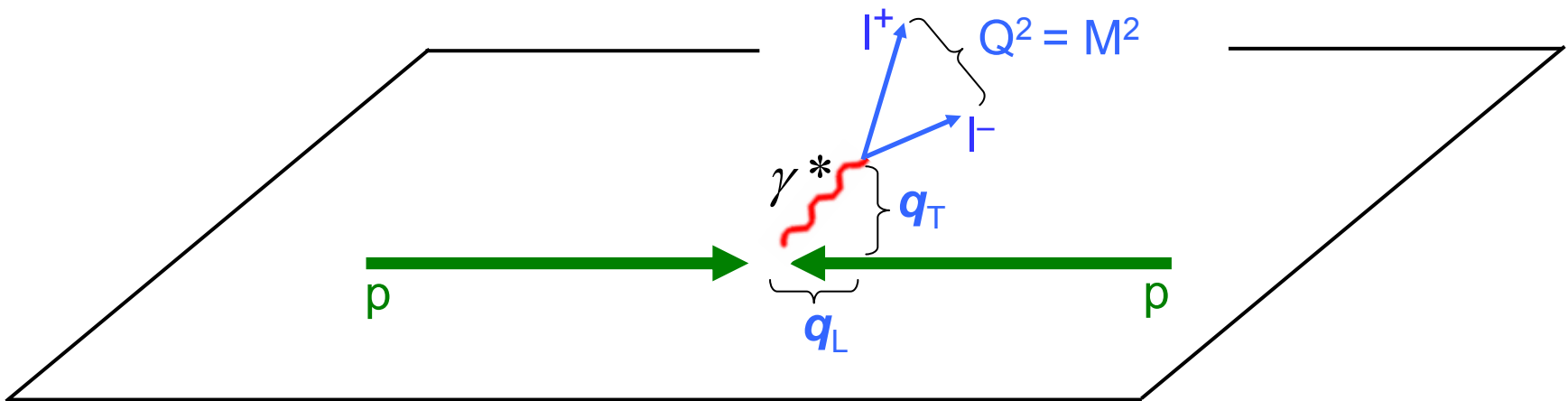
uncertainty principle

$$\Delta x \approx 1 \text{ fm} \Rightarrow \Delta p \approx 0.2 \text{ GeV}/c$$

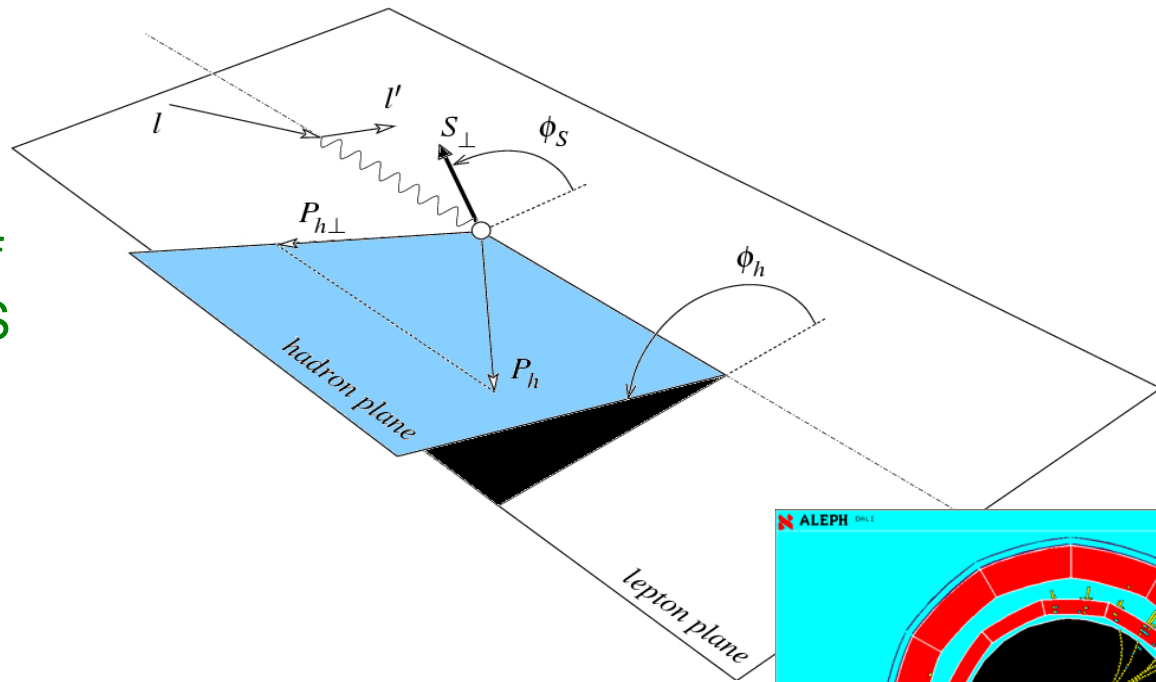
gluon radiation



$q_T$  distribution of lepton pairs in D-Y processes

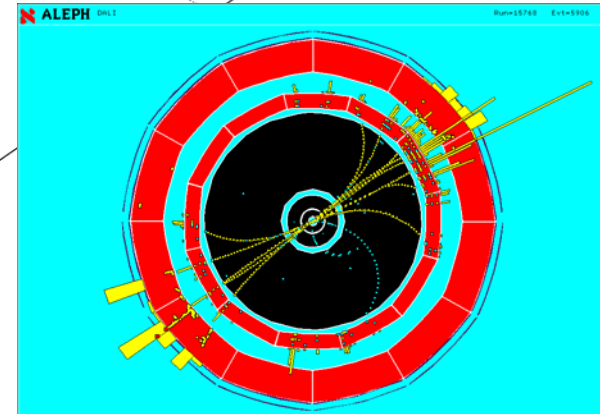


$p_T$  distribution of hadrons in SIDIS

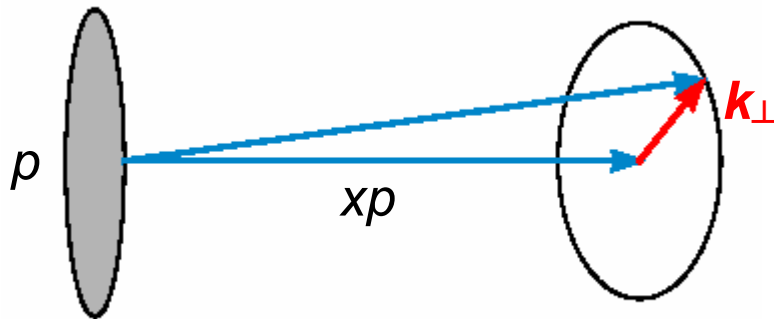


$$\gamma^* p \rightarrow h X$$

Hadron distribution in jets in  $e^+e^-$  processes

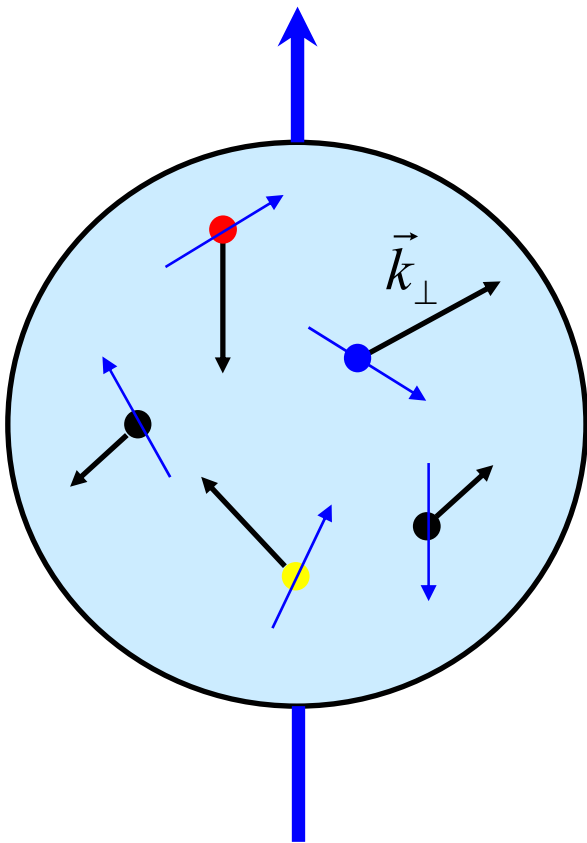


Large  $p_T$  particle production in  $pN \rightarrow hX$

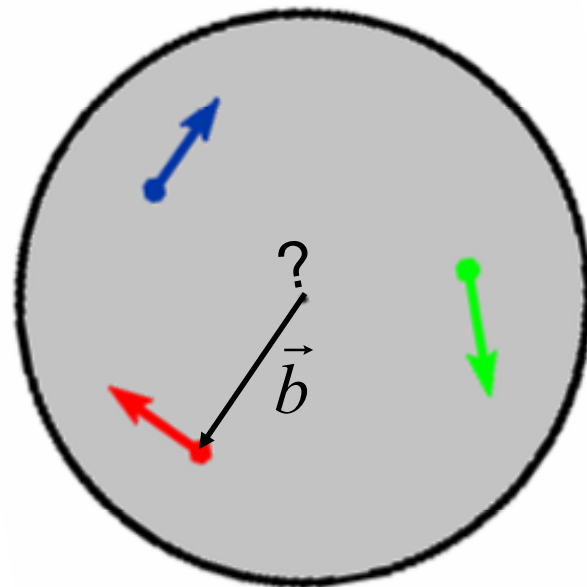


Transverse motion is usually integrated, but there might be important spin- $k_\perp$  correlations

spin- $k_{\perp}$  correlations?



orbiting quarks?



Transverse Momentum Dependent distribution functions

Space dependent distribution functions (GPD)

$$q(x, \vec{k}_{\perp})$$

$$q(x, \vec{b})$$

# Unpolarized SIDIS, [ $O(\alpha_s^0)$ ]

$$d\sigma^{lp \rightarrow lhX} = \sum_q f_q(x, Q^2) \otimes d\hat{\sigma}^{lq \rightarrow lq} \otimes D_q^h(z, Q^2)$$

in collinear parton model

$$d\hat{\sigma}^{lq \rightarrow lq} \propto \hat{s}^2 + \hat{u}^2 \propto 1 + (1-y)^2$$

$$x = \frac{Q^2}{2p \cdot q}$$

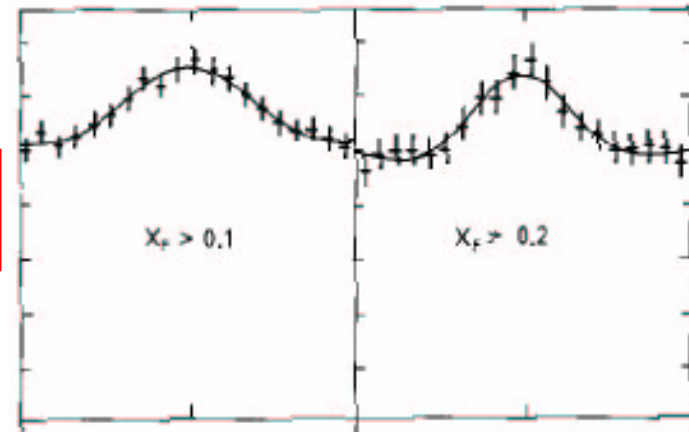
$$Q^2 = -q^2$$

$$y = \frac{p \cdot q}{l \cdot p}$$

thus, no dependence on azimuthal angle  $\Phi_h$  at zero-th order in pQCD

the experimental data reveal that

$$d\hat{\sigma}^{lq \rightarrow lh^\pm X} / d\Phi_h \propto A + B \cos \Phi_h + C \cos 2\Phi_h$$



M. Arneodo et al (EMC): Z. Phys. C 34 (1987) 277

**Cahn**: the observed azimuthal dependence is related to the **intrinsic  $k_{\perp}$**  of quarks (**at least for small  $P_T$  values**)

$$\vec{k}_{\perp} = (k_{\perp} \cos \varphi, k_{\perp} \sin \varphi, 0)$$

$$\hat{s} = s x \left[ 1 - \frac{2k_{\perp}}{Q} \sqrt{1-y} \cos \varphi \right] + \mathcal{O}\left(\frac{k_{\perp}^2}{Q^2}\right)$$

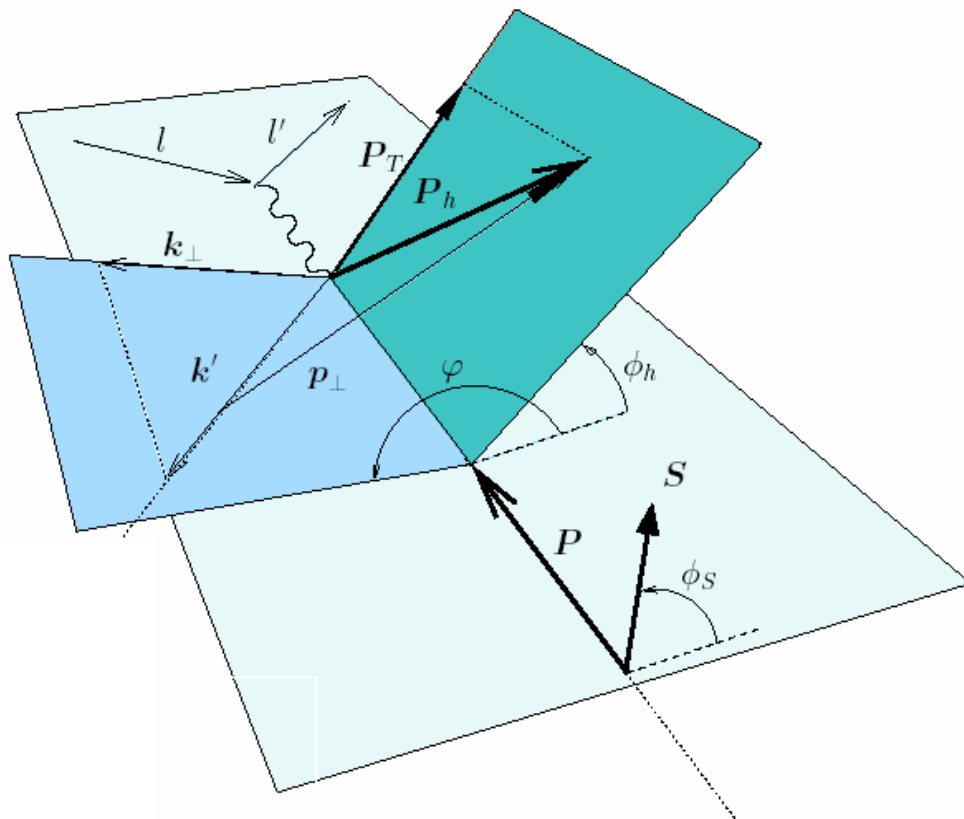
$$\hat{u} = s x (1-y) \left[ 1 - \frac{2k_{\perp}}{Q \sqrt{1-y}} \cos \varphi \right] + \mathcal{O}\left(\frac{k_{\perp}^2}{Q^2}\right)$$

 assuming collinear fragmentation,  $\varphi = \Phi_h$

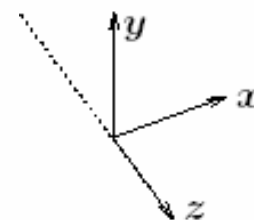
$$\frac{d\hat{\sigma}^{lq \rightarrow lhX}}{d\Phi_h} \propto \hat{s}^2 + \hat{u}^2 \propto A + B \cos \Phi_h + C \cos 2\Phi_h$$

These modulations of the cross section with azimuthal angle are denoted as “**Cahn effect**”.

# SIDIS with intrinsic $k_{\perp}$



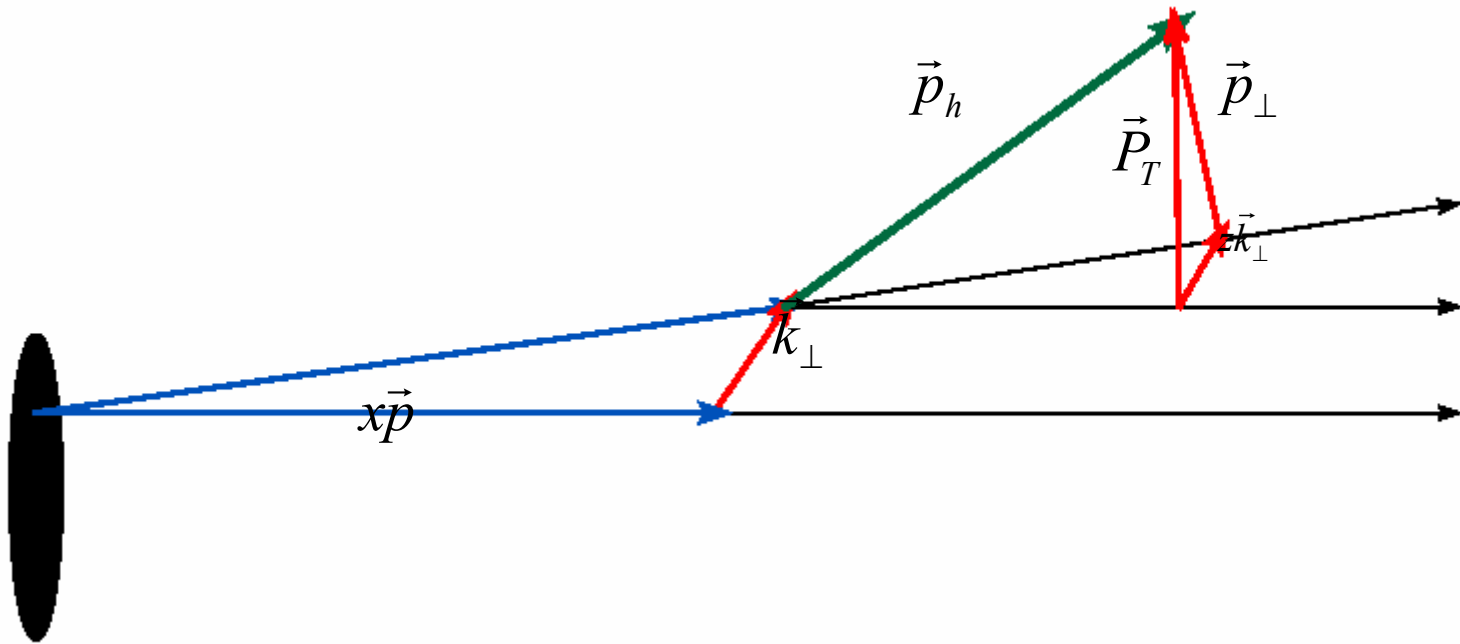
kinematics  
according to Trento  
conventions (2004)



factorization holds at large  $Q^2$ , and  $P_T \approx k_{\perp} \approx \Lambda_{QCD}$

Ji, Ma, Yuan

$$d\sigma^{lp \rightarrow lhX} = \sum_q f_q(x, k_{\perp}; Q^2) \otimes d\hat{\sigma}^{lq \rightarrow lq}(y, \vec{k}_{\perp}; Q^2) \otimes D_q^h(z, p_{\perp}; Q^2)$$



The situation is more complicated as the produced hadron has also intrinsic transverse momentum with respect to the fragmenting parton

neglecting terms of order  $(k_\perp / Q)$  one has

$$\vec{P}_T = \vec{p}_\perp + z\vec{k}_\perp$$

assuming:

$$\left\{ \begin{array}{l} f_q(x, k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle} \\ D_q^h(z, p_{\perp}) = D_q^h(z) \frac{1}{\pi \langle p_{\perp}^2 \rangle} e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle} \end{array} \right.$$

one finds:

$$\frac{d^5 \sigma^{\ell p \rightarrow \ell h X}}{dx_B dQ^2 dz_h d^2 \mathbf{P}_T} \simeq \sum_q \frac{2\pi \alpha^2 e_q^2}{Q^4} f_q(x_B) D_q^h(z_h) \left[ 1 + (1-y)^2 - 4 \frac{(2-y)\sqrt{1-y} \langle k_{\perp}^2 \rangle z_h P_T}{\langle P_T^2 \rangle Q} \cos \phi_h \right] \frac{1}{\pi \langle P_T^2 \rangle} e^{-P_T^2 / \langle P_T^2 \rangle}$$

with

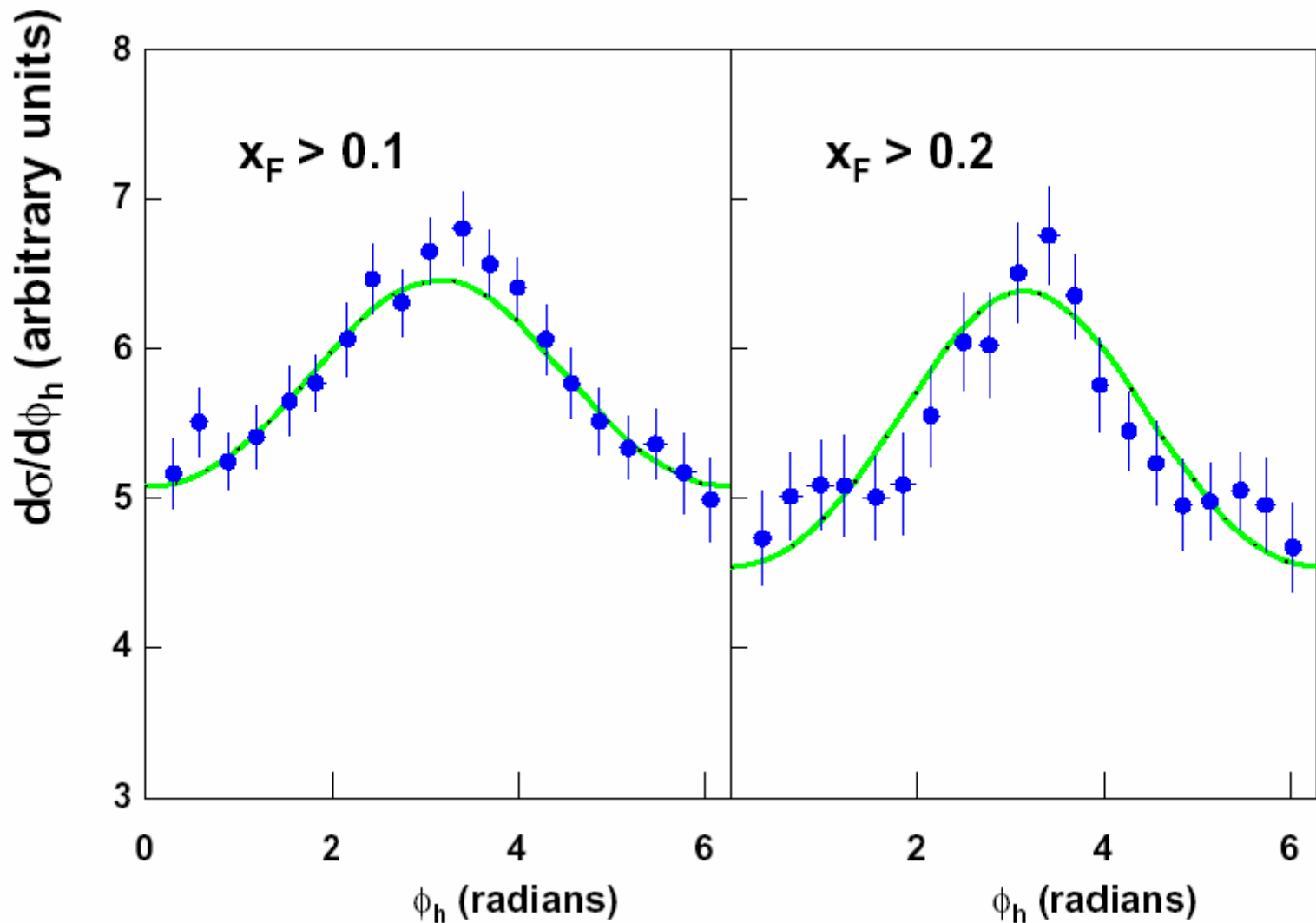
$$\langle P_T^2 \rangle = \langle p_{\perp}^2 \rangle + z_h^2 \langle k_{\perp}^2 \rangle$$



clear dependence on  $\langle p_{\perp}^2 \rangle$  and  $\langle k_{\perp}^2 \rangle$  (assumed to be constant)

Find best values by fitting data on  $\phi_h$  and  $P_T$  dependences



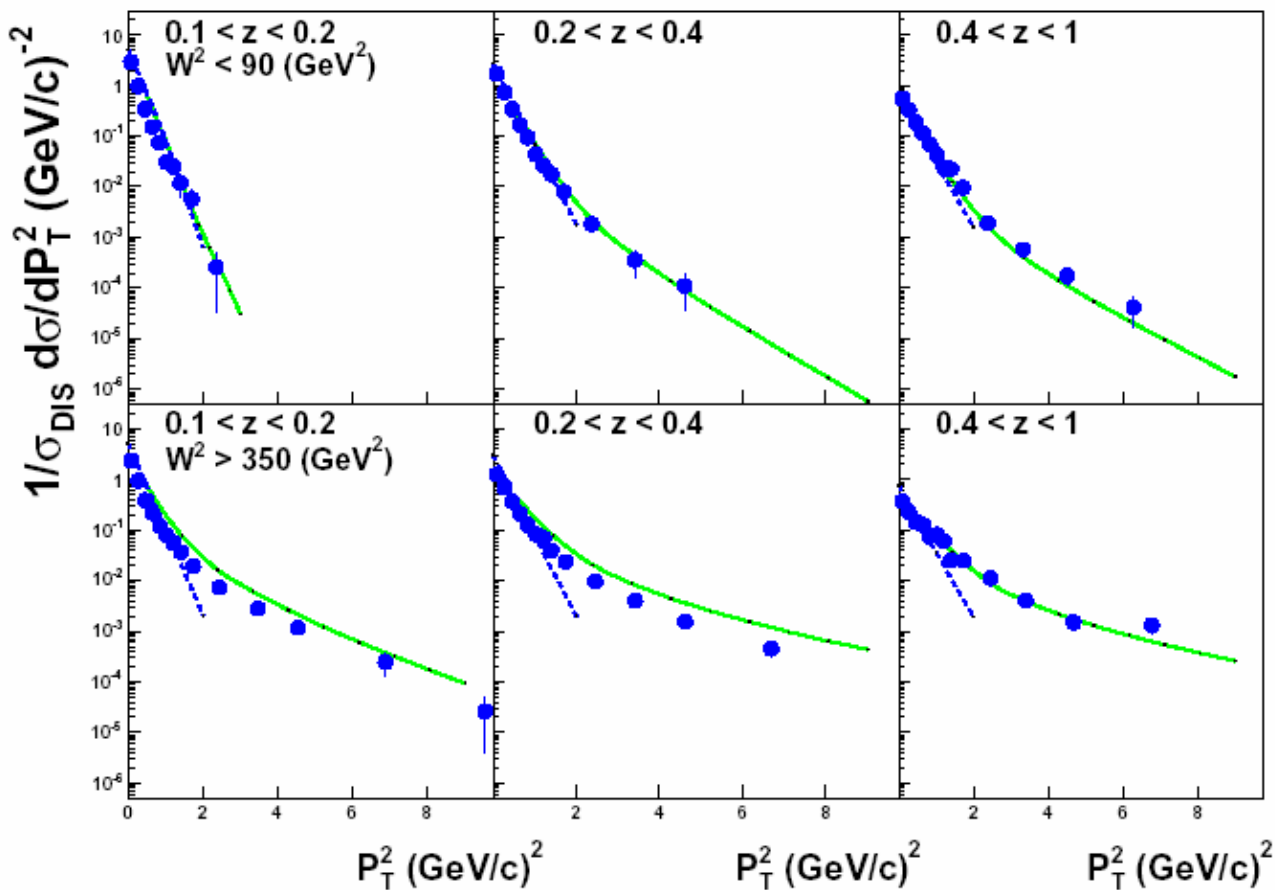
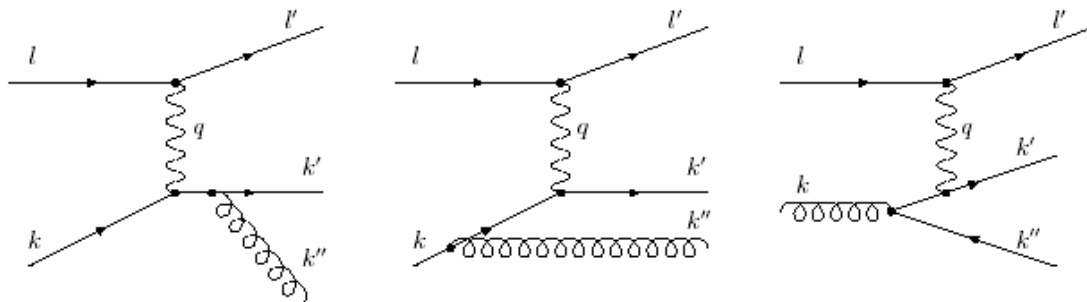


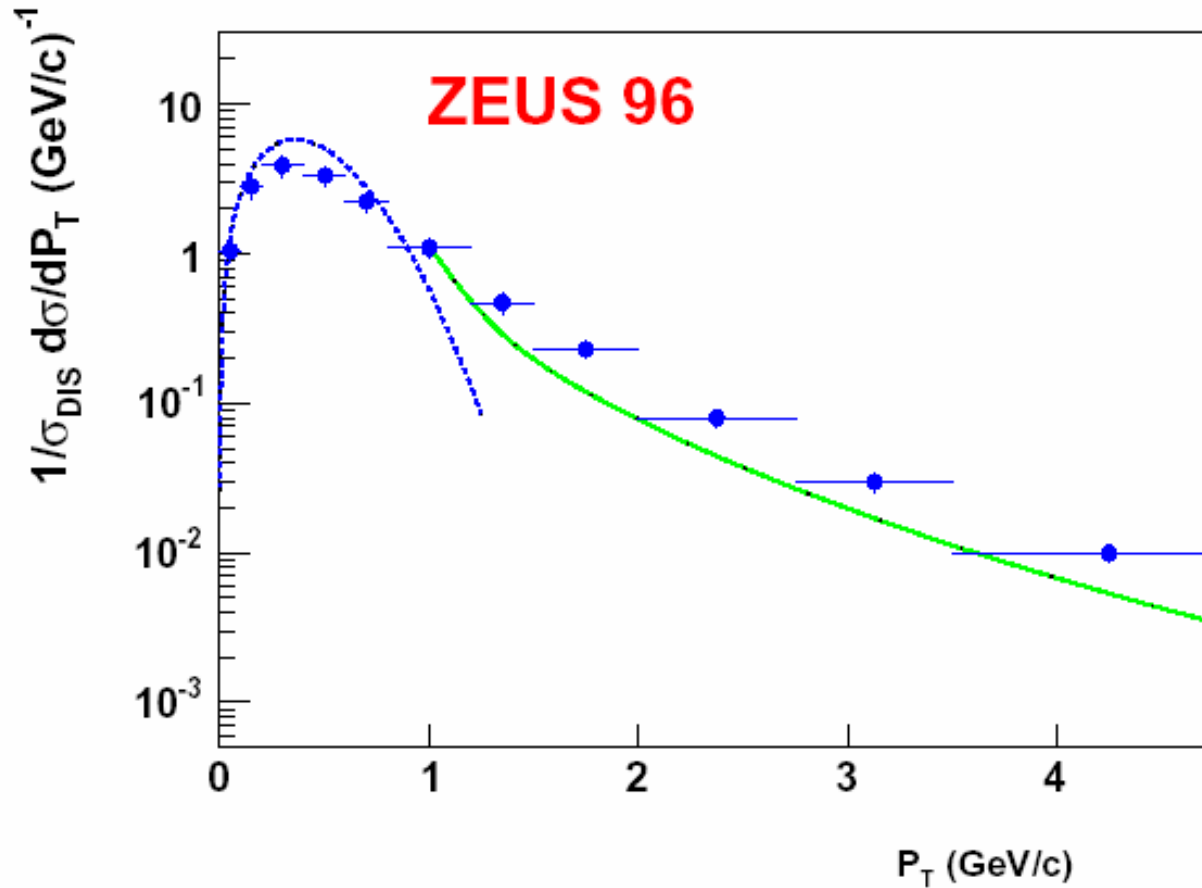
EMC data,  $\mu p$  and  $\mu d$ ,  $E$  between 100 and 280 GeV

$$\langle k_{\perp}^2 \rangle = 0.28 \text{ (GeV)}^2 \quad \langle p_{\perp}^2 \rangle = 0.25 \text{ (GeV)}^2$$

M.A., M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia and A. Prokudin

Large  $P_T$  data explained  
by NLO QCD  
corrections

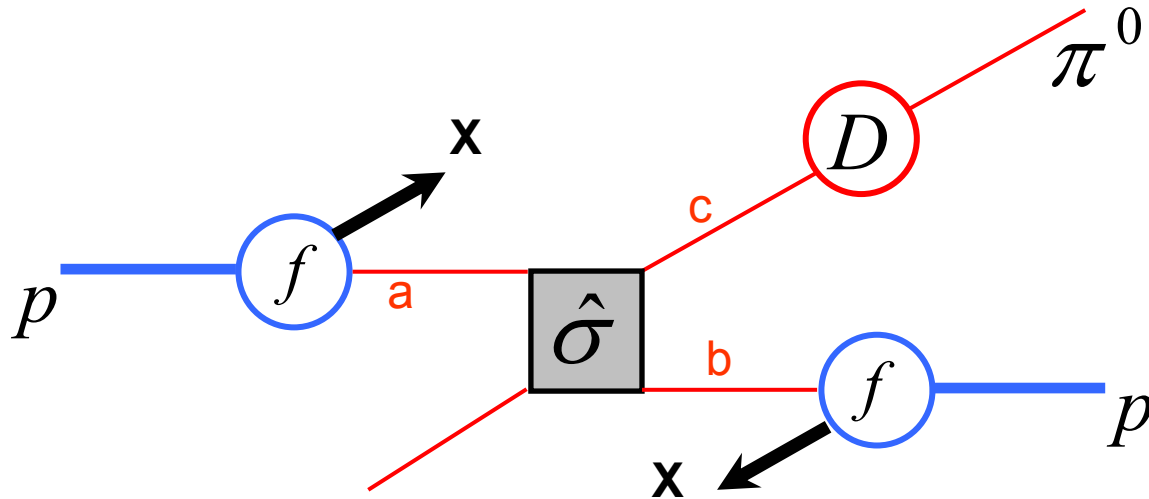




**dashed line:** parton model with unintegrated distribution and fragmentation functions  
**solid line:** pQCD contributions at LO and a  $K$  factor ( $K = 1.5$ ) to account for NLO effects

$$pp \rightarrow \pi^0 X \quad (\text{collinear configurations})$$

factorization theorem



$$d\sigma = \sum_{a,b,c,d=q,\bar{q},g} \underbrace{f_{a/p} \otimes f_{b/p}}_{\text{PDF}} \otimes d\hat{\sigma}^{ab \rightarrow cd} \otimes \underbrace{D_{\pi/c}}_{\text{FF}}$$

pQCD elementary interactions

## The cross section

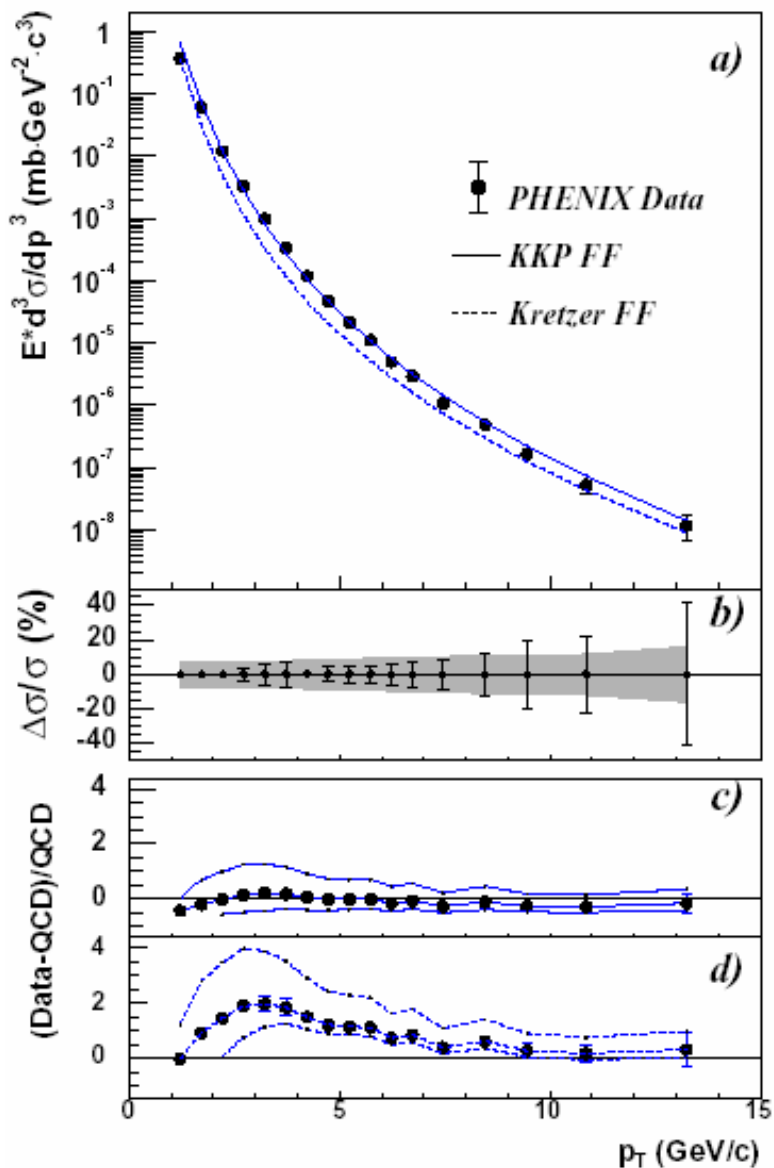
$$\begin{aligned} \frac{E_C d\sigma^{AB \rightarrow CX}}{d^3\mathbf{p}_C} &= \sum_{a,b,c,d} \int dx_a dx_b dz f_{a/A}(x_a, Q^2) f_{b/B}(x_b, Q^2) \\ &\times \frac{\hat{s}}{\pi z^2} \frac{d\hat{\sigma}^{ab \rightarrow cd}}{d\hat{t}}(\hat{s}, \hat{t}, \hat{u}, x_a, x_b) \delta(\hat{s} + \hat{t} + \hat{u}) D_{C/c}(z, Q^2) \\ &= \sum_{a,b,c,d} \int dx_a dx_b f_{a/A}(x_a, Q^2) f_{b/B}(x_b, Q^2) \\ &\times \frac{1}{\pi z} \frac{d\hat{\sigma}^{ab \rightarrow cd}}{d\hat{t}}(\hat{s}, \hat{t}, \hat{u}, x_a, x_b) D_{C/c}(z, Q^2), \end{aligned}$$

$$x_a x_b z s = -x_a t - x_b u$$

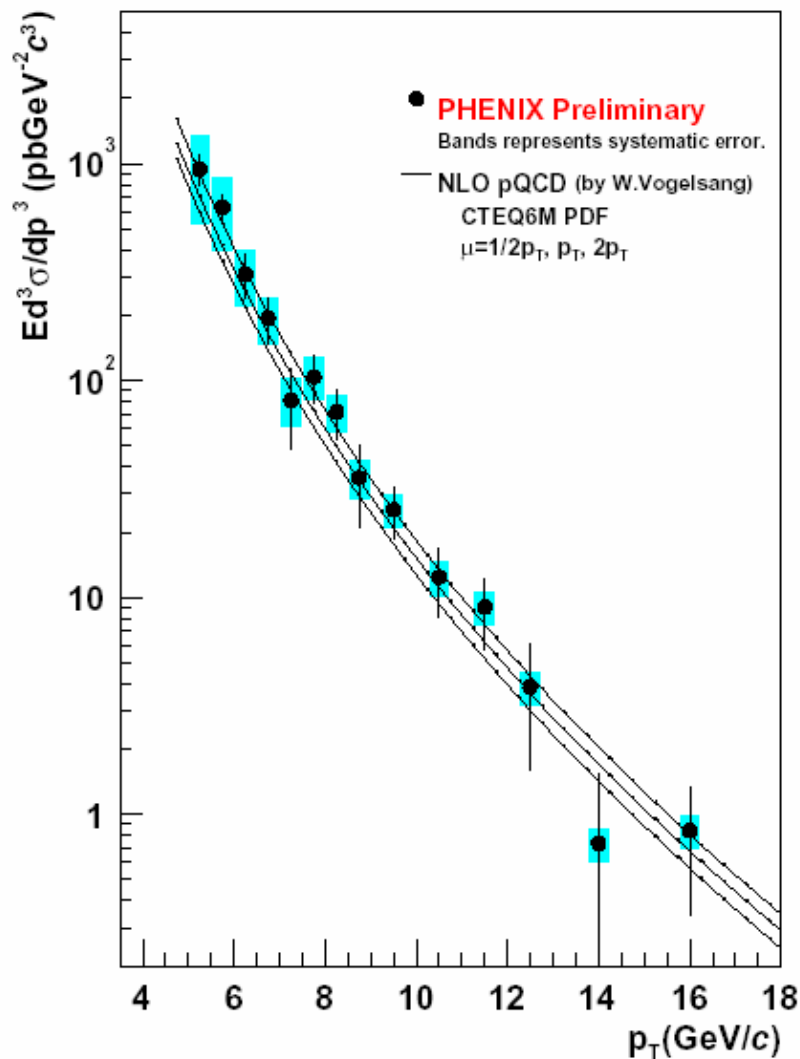
$\hat{s}, \hat{t}, \hat{u}$  elementary Mandelstam variables

$s, t, u$  hadronic Mandelstam variables

RHIC data  $\sqrt{s} = 200$  GeV



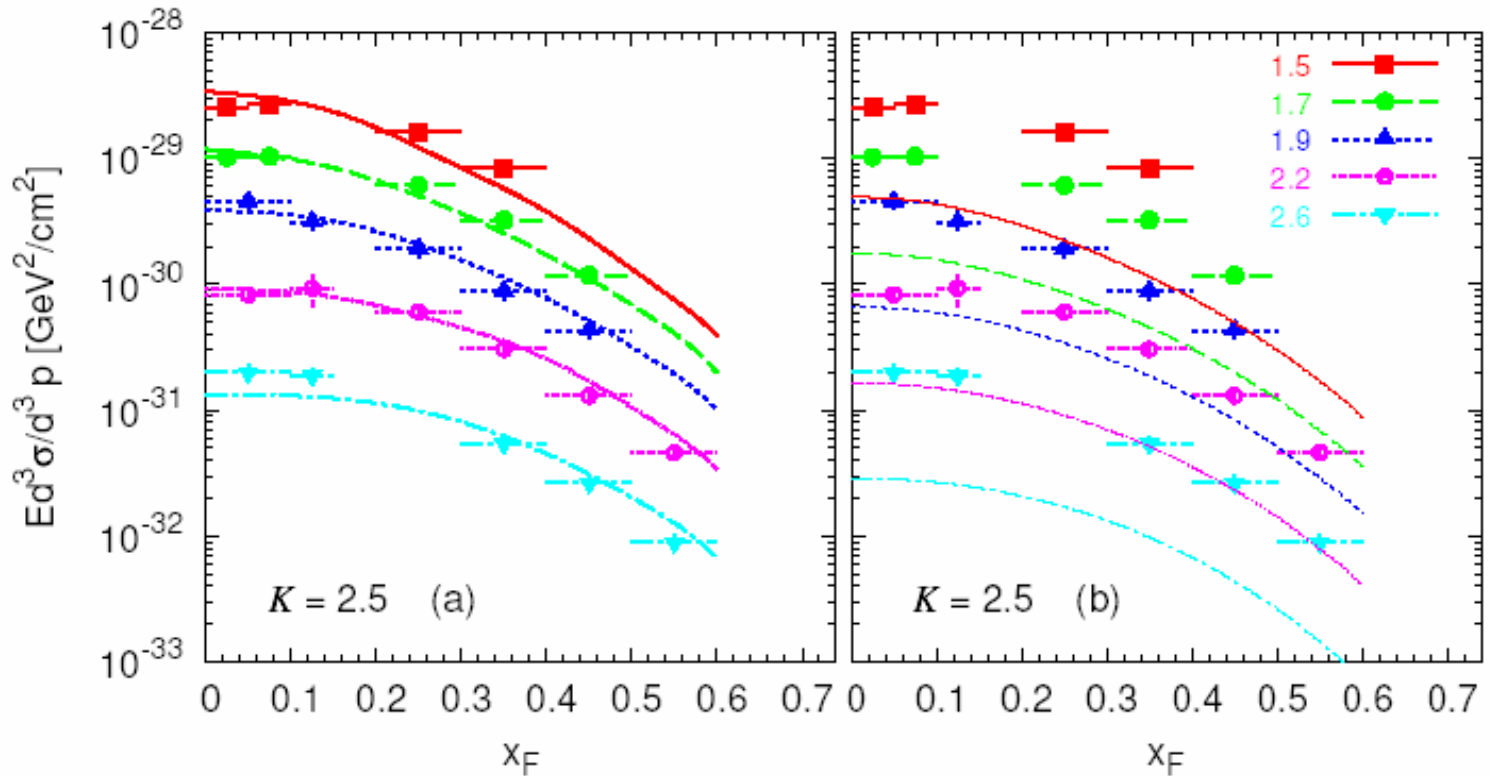
$$p p \rightarrow \pi^0 X$$



$$p p \rightarrow \gamma X$$

$\langle k_{\perp} \rangle = 0.8 \text{ GeV}$

no  $\langle k_{\perp} \rangle$

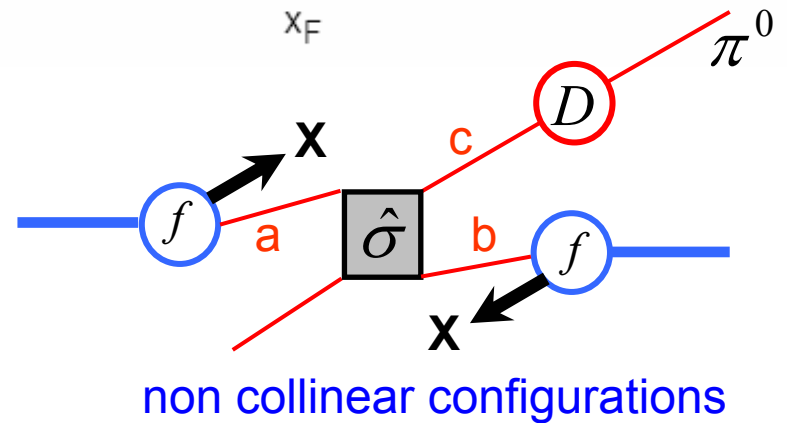


F. Murgia, U. D'Alesio

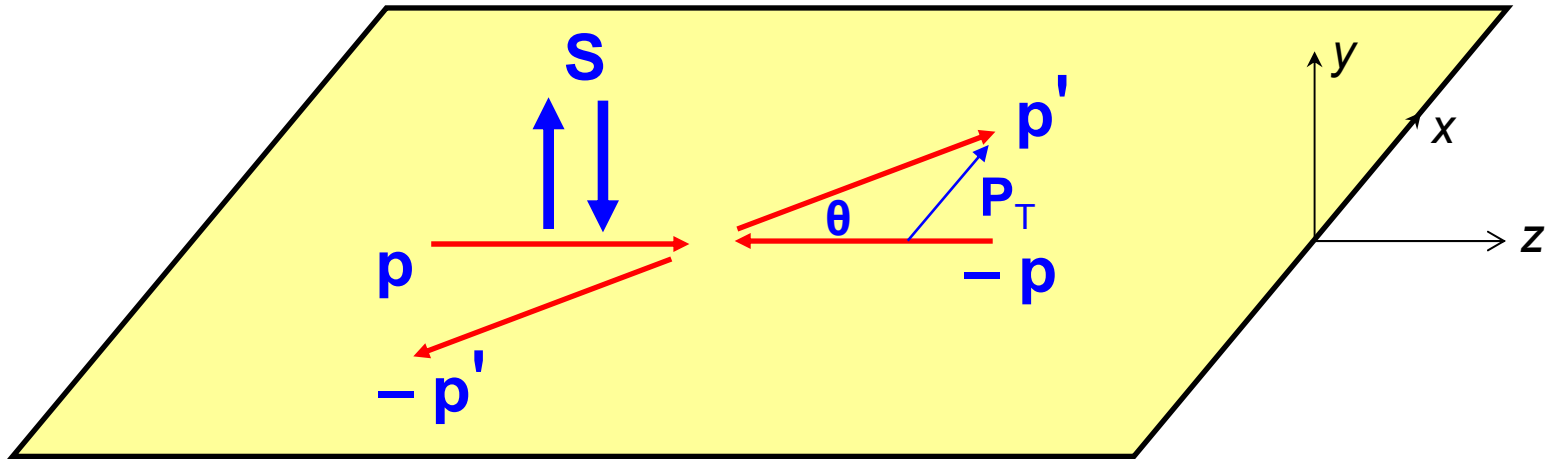
FNAL data, PLB 73 (1978)

$p p \rightarrow \pi^0 X$   $\sqrt{s} \approx 20 \text{ GeV}$

original idea by Feynman-Field



# Transverse single spin asymmetries: elastic scattering



$$A_N \equiv \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} \propto \vec{S} \cdot (\vec{p} \times \vec{P}_T) \propto \sin \theta$$

Example:  $pp \rightarrow pp$  ➔

5 independent helicity amplitudes

$$A_N \propto \text{Im} \left[ \Phi_5 (\Phi_1 + \Phi_2 + \Phi_3 - \Phi_4)^* \right]$$

$$M_{++;++} \equiv \Phi_1$$

$$M_{--;++} \equiv \Phi_2$$

$$M_{+-;+-} \equiv \Phi_3$$

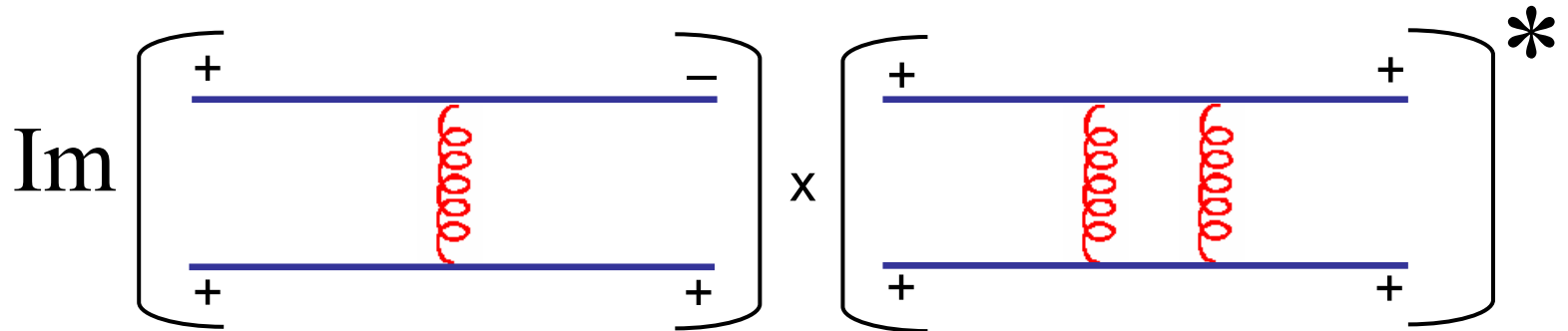
$$M_{-+;-+} \equiv \Phi_4$$

$$M_{-+;++} \equiv \Phi_5$$



Single spin asymmetries at partonic level. Example:  $qq' \rightarrow qq'$

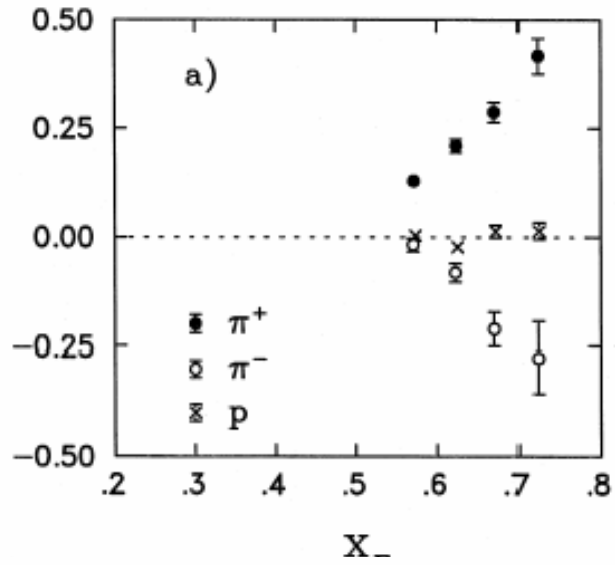
$A_N \neq 0$  needs helicity flip + relative phase



QED and QCD interactions conserve helicity, up to corrections  $O(m_q / E)$

$\longrightarrow$   $A_N \propto \frac{m_q}{E} \alpha_s$  at quark level

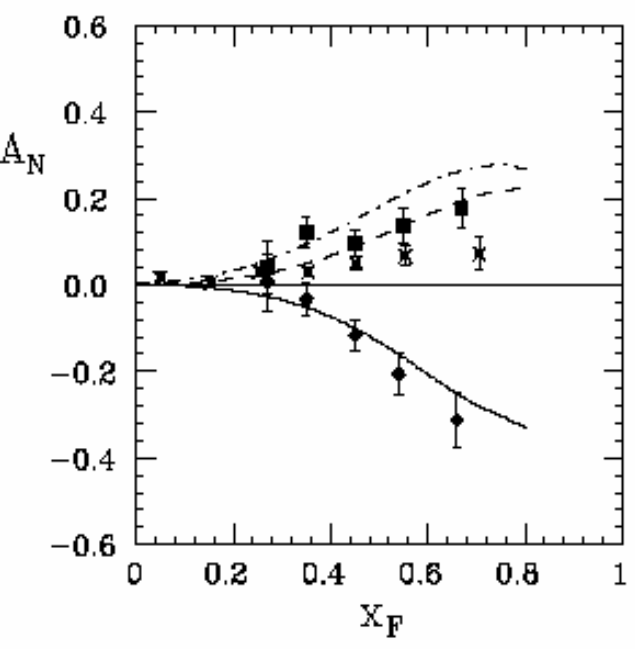
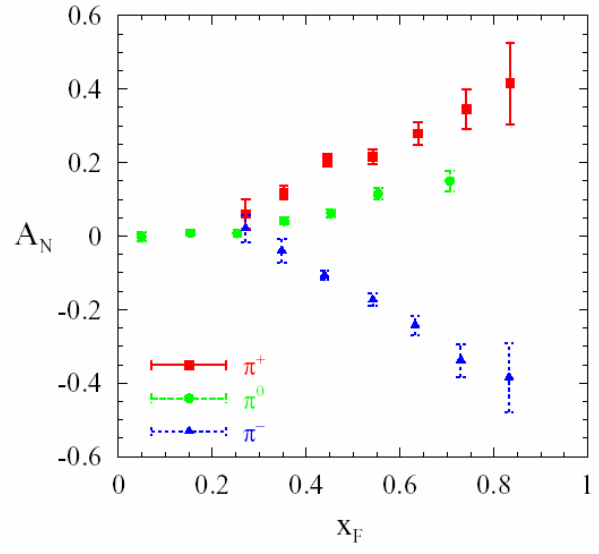
but large SSA observed at hadron level!



BNL-AGS  $\sqrt{s} = 6.6$  GeV  
 $0.6 < p_T < 1.2$

$$p^\uparrow p \rightarrow \pi X$$

E704  $\sqrt{s} = 20$  GeV  
 $0.7 < p_T < 2.0$



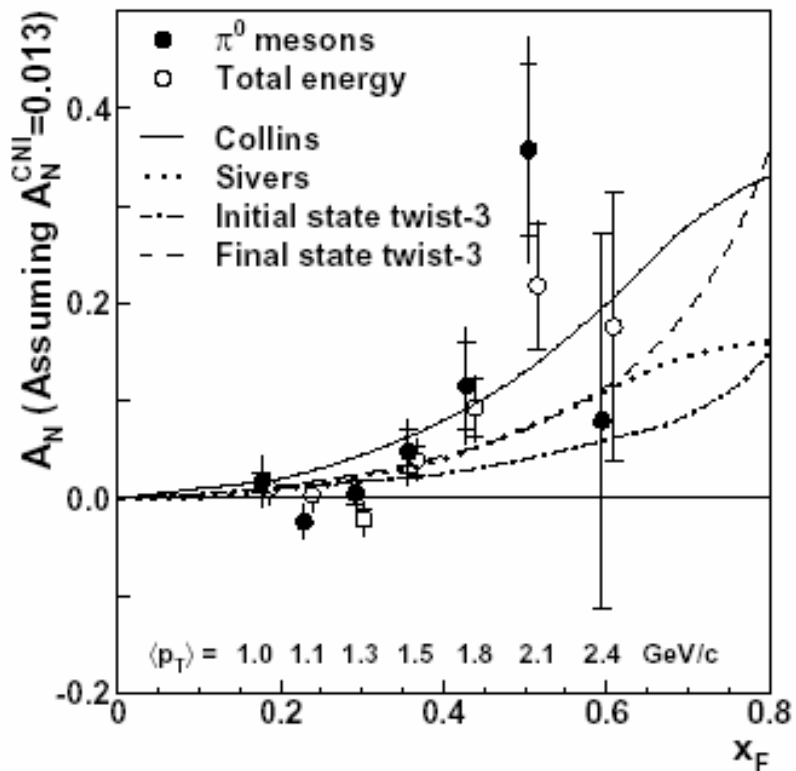
E704  $\sqrt{s} = 20$  GeV  
 $0.7 < p_T < 2.0$

$$\bar{p}^\uparrow p \rightarrow \pi X$$

observed transverse Single Spin Asymmetries

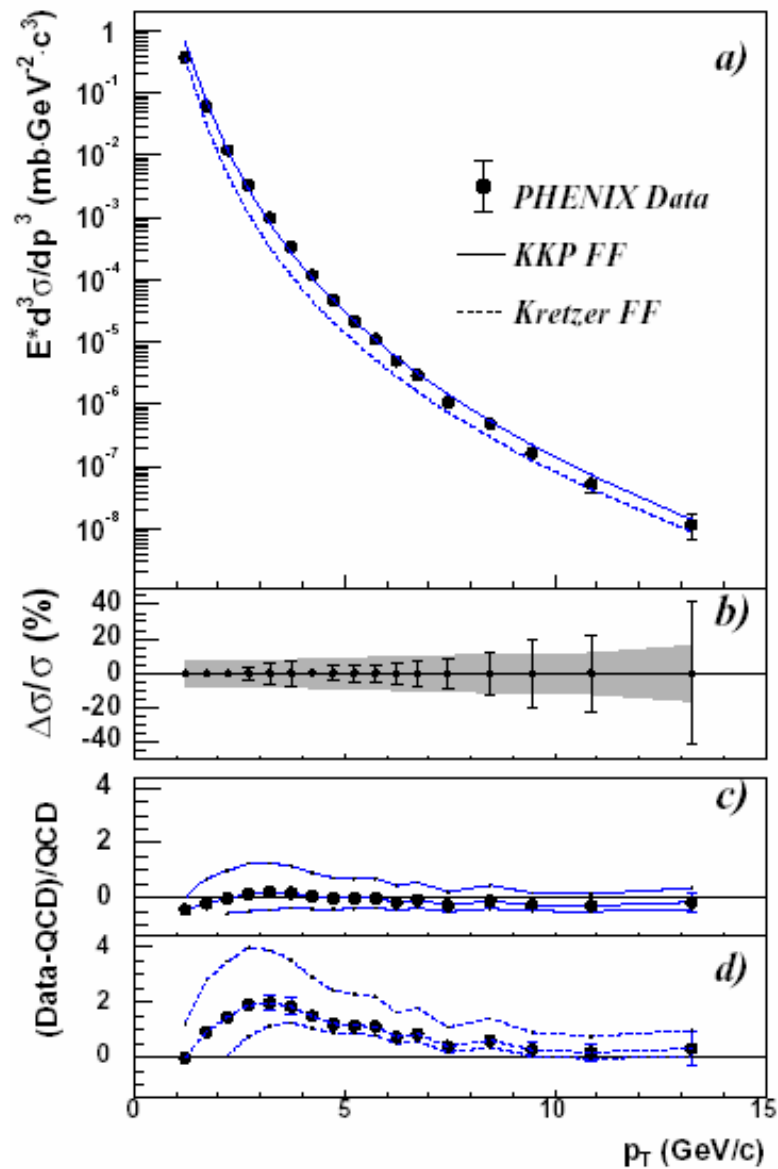
$$A_N \equiv \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

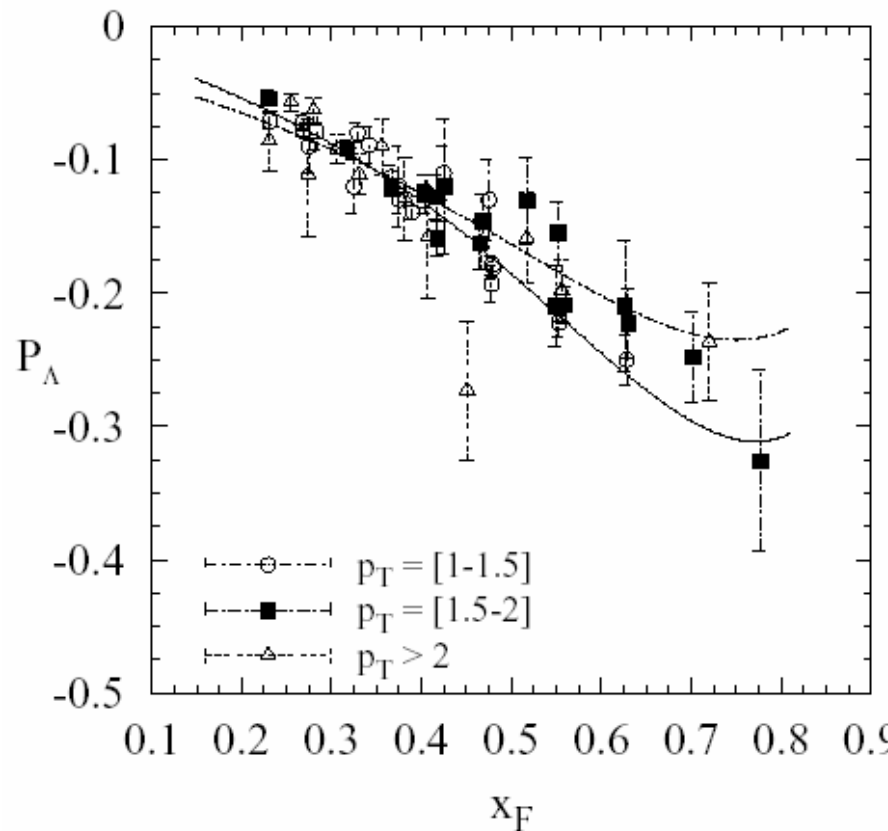
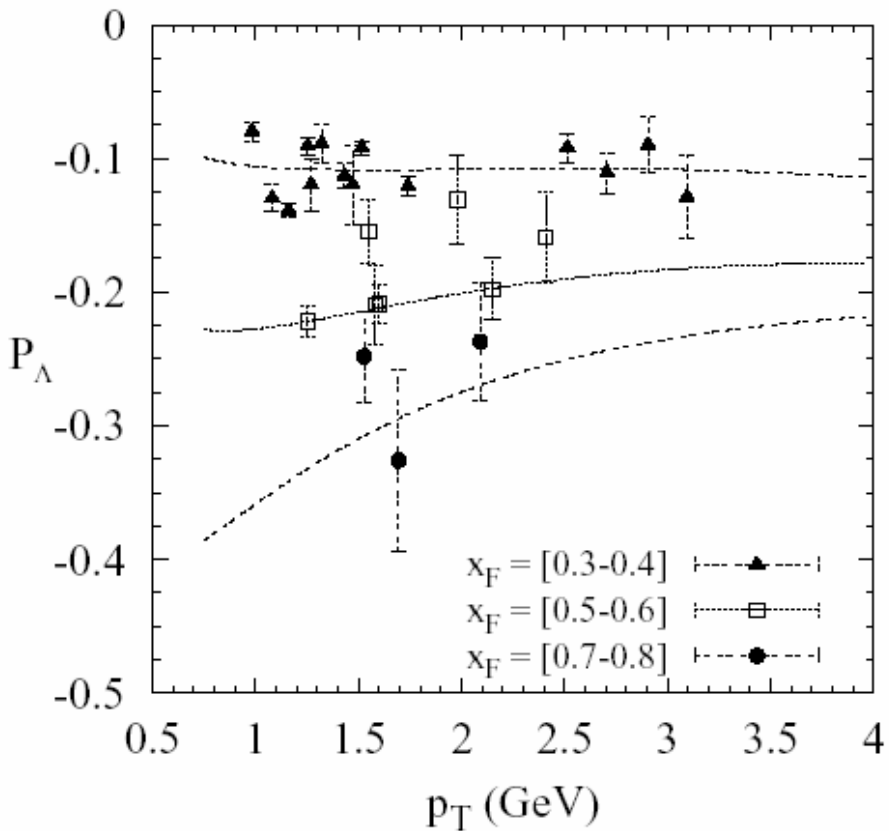
experimental data on SSA



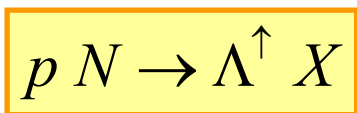
STAR-RHIC  $\sqrt{s} = 200 \text{ GeV}$   
 $1.1 < p_T < 2.5$

$A_N$  stays at high energies ....

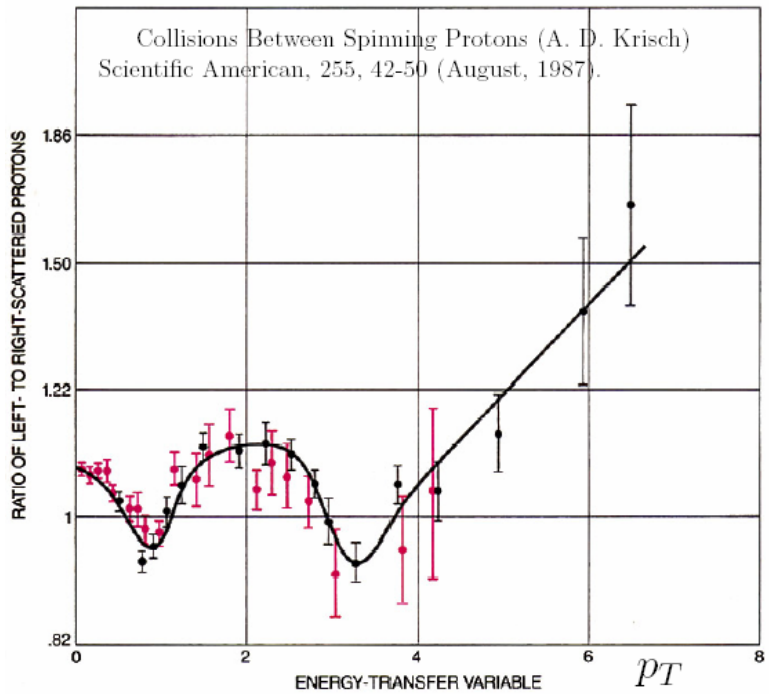
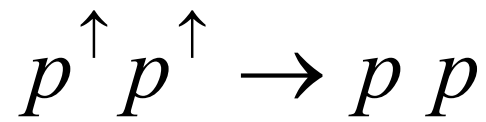
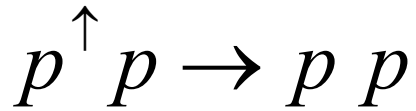




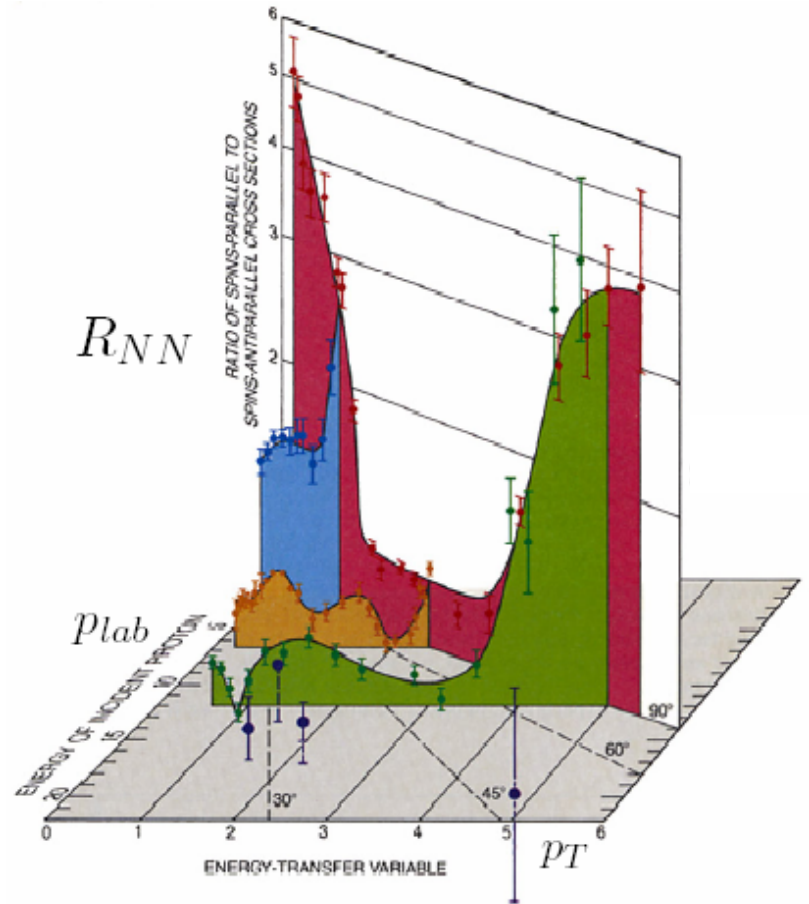
Transverse  $\Lambda$  polarization in unpolarized p-Be scattering at Fermilab



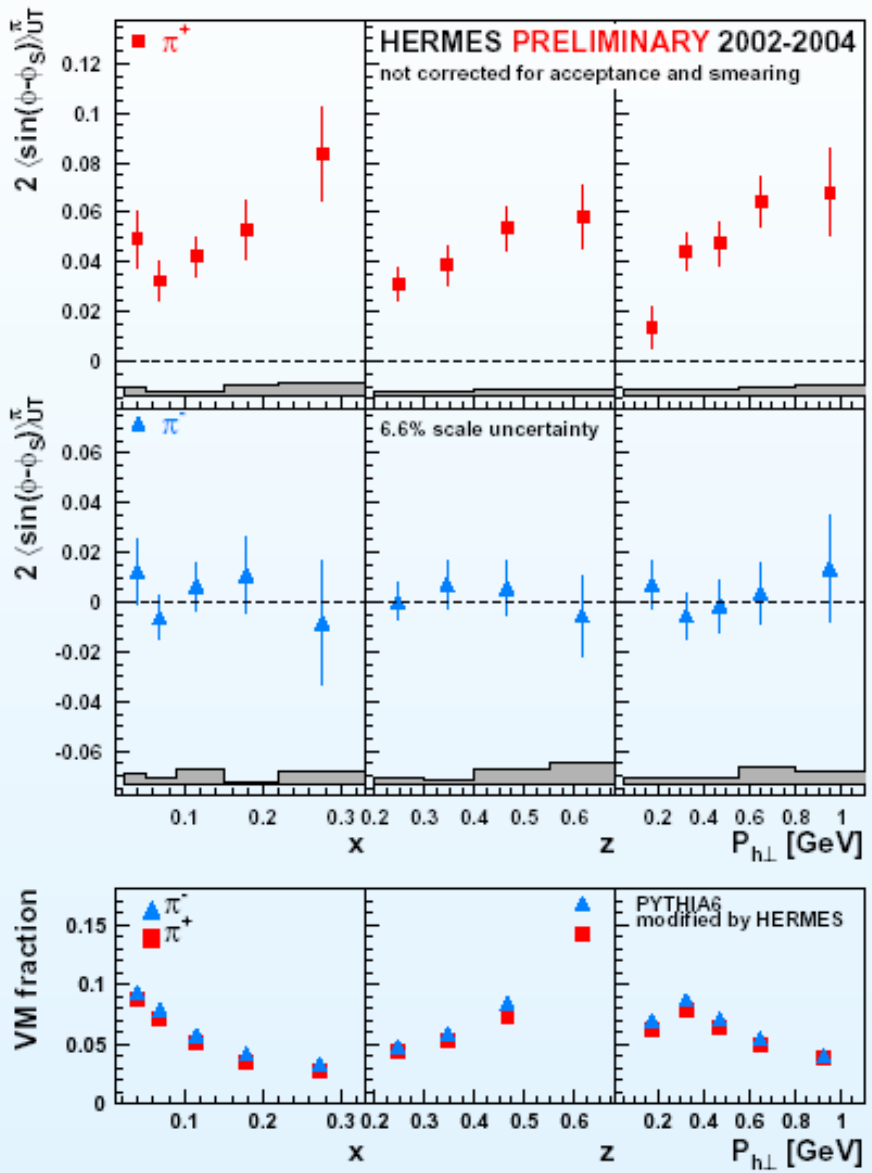
$$P_\Lambda = \frac{d\sigma^{\Lambda^\uparrow} - d\sigma^{\Lambda^\downarrow}}{d\sigma^{\Lambda^\uparrow} + d\sigma^{\Lambda^\downarrow}}$$



$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



$$A_{NN} = \frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}}{d\sigma^{\uparrow\uparrow} + d\sigma^{\uparrow\downarrow}}$$



$$l N^\uparrow \rightarrow l \pi X$$

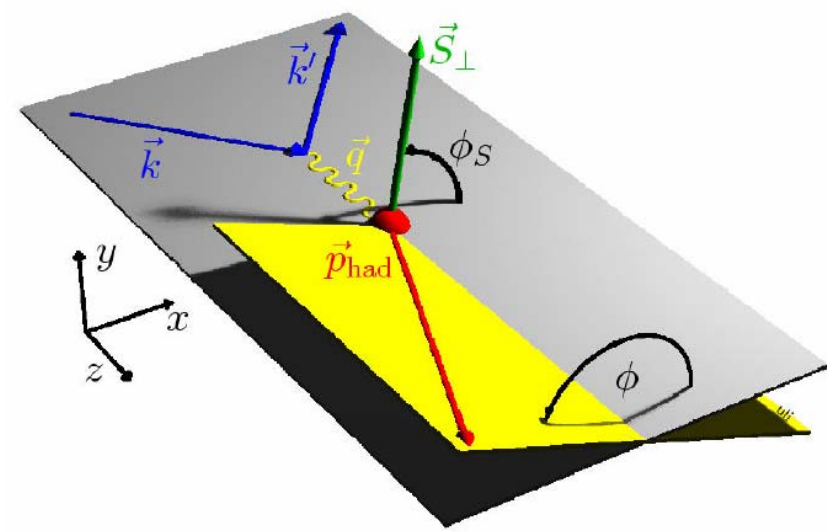


“Sivers moment”

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

$$2 \langle \sin(\Phi - \Phi_S) \rangle = A_{UT}^{\sin(\Phi - \Phi_S)}$$

$$\equiv 2 \frac{\int d\Phi d\Phi_S (d\sigma^\uparrow - d\sigma^\downarrow) \sin(\Phi - \Phi_S)}{\int d\Phi d\Phi_S (d\sigma^\uparrow + d\sigma^\downarrow)}$$





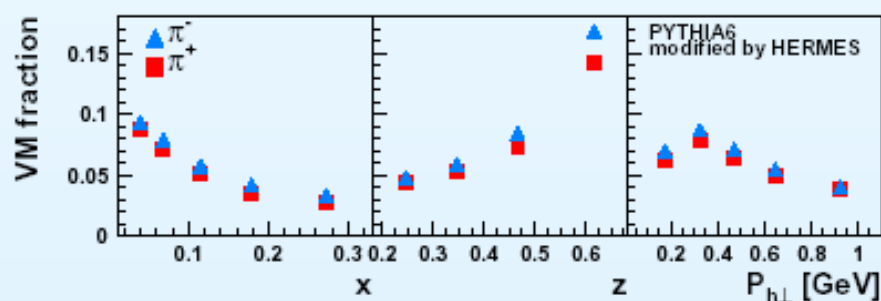
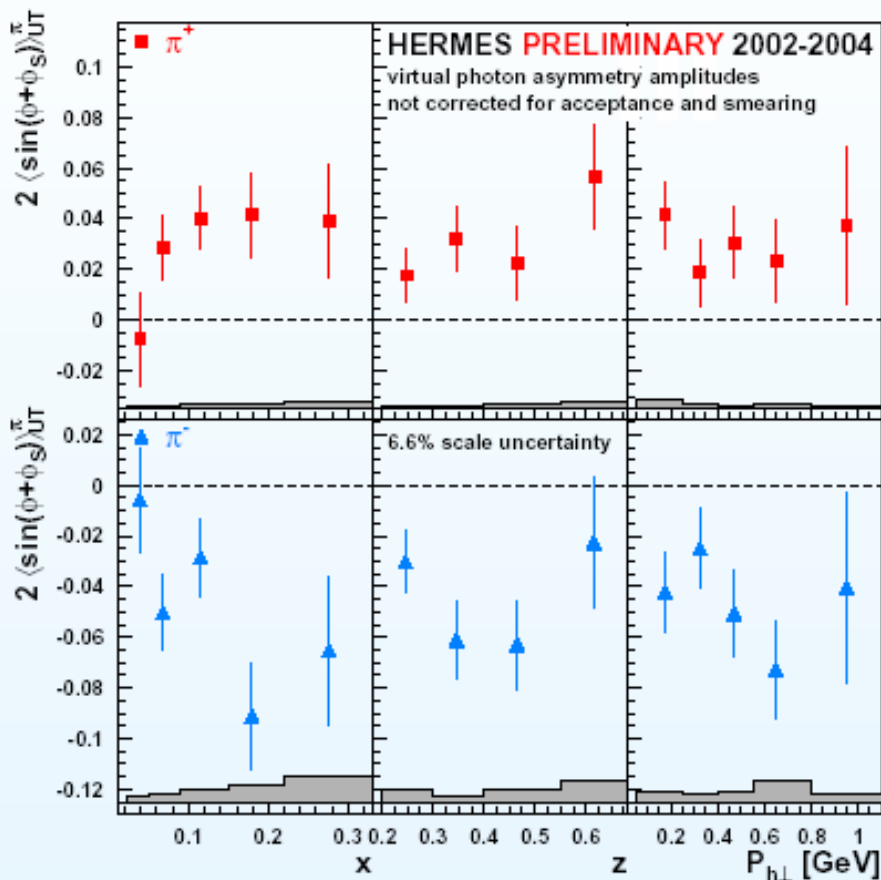
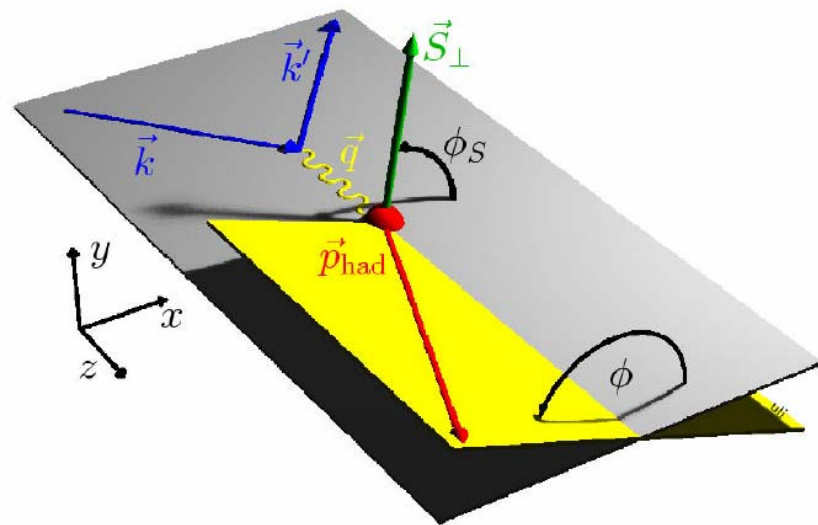
$$l N^\uparrow \rightarrow l \pi X$$

“Collins moment”

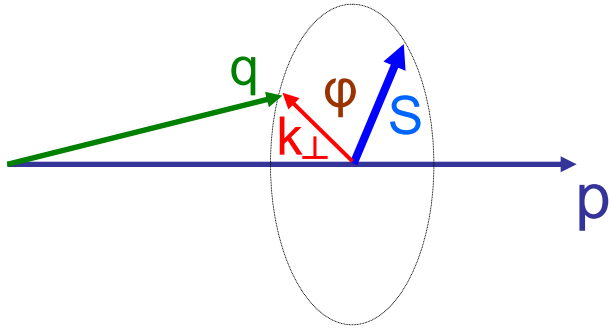
$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

$$2\langle \sin(\Phi + \Phi_S) \rangle = A_{UT}^{\sin(\Phi + \Phi_S)}$$

$$\equiv 2 \frac{\int d\Phi d\Phi_S (d\sigma^\uparrow - d\sigma^\downarrow) \sin(\Phi + \Phi_S)}{\int d\Phi d\Phi_S (d\sigma^\uparrow + d\sigma^\downarrow)}$$

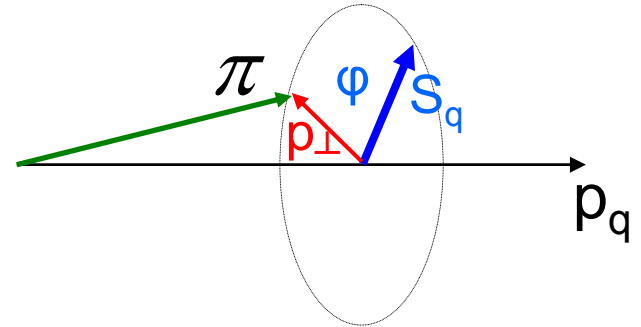


# spin- $k_{\perp}$ correlations



Sivers function

$$f_{q/p\uparrow}(x, \vec{k}_{\perp}) = f_{q/p}(x, k_{\perp}) + \frac{1}{2} \Delta^N f_{q/p\uparrow}(x, k_{\perp}) \vec{S} \cdot (\hat{p} \times \hat{k}_{\perp})$$



Collins function

$$D_{h/q\uparrow}(z, \vec{p}_{\perp}) = D_{h/q}(z, p_{\perp}) + \frac{1}{2} \Delta^N D_{h/q\uparrow}(z, p_{\perp}) \vec{S}_q \cdot (\hat{p}_q \times \hat{p}_{\perp})$$

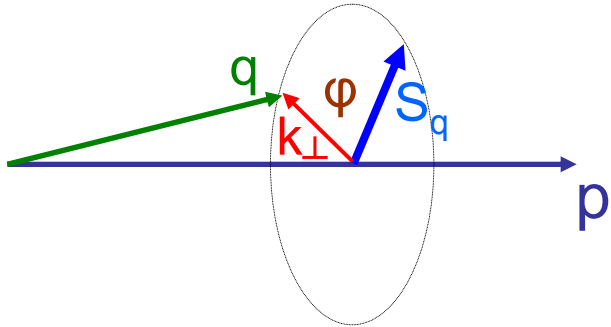
## Amsterdam group notations

$$\Delta^N f_{q/p\uparrow} = -\frac{2k_{\perp}}{M} f_{1T}^{\perp q}$$

$$\Delta^N D_{h/q\uparrow} = 2 \frac{p_{\perp}}{z M_h} H_1^{\perp q}$$

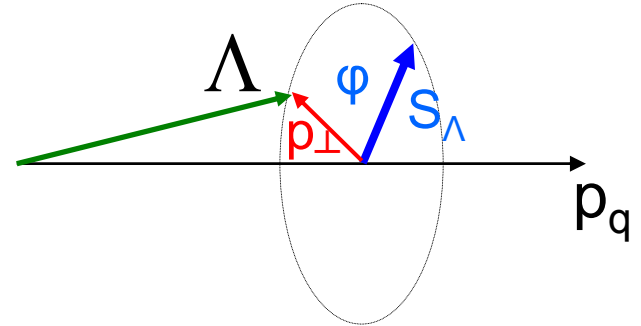


# spin- $k_{\perp}$ correlations



Boer-Mulders function

$$f_{q^{\uparrow}/p}(x, \vec{k}_{\perp}) = \frac{1}{2} f_{q/p}(x, k_{\perp}) + \frac{1}{2} \Delta^N f_{q^{\uparrow}/p}(x, k_{\perp}) \vec{S}_q \cdot (\hat{p} \times \hat{k}_{\perp})$$



polarizing f.f.

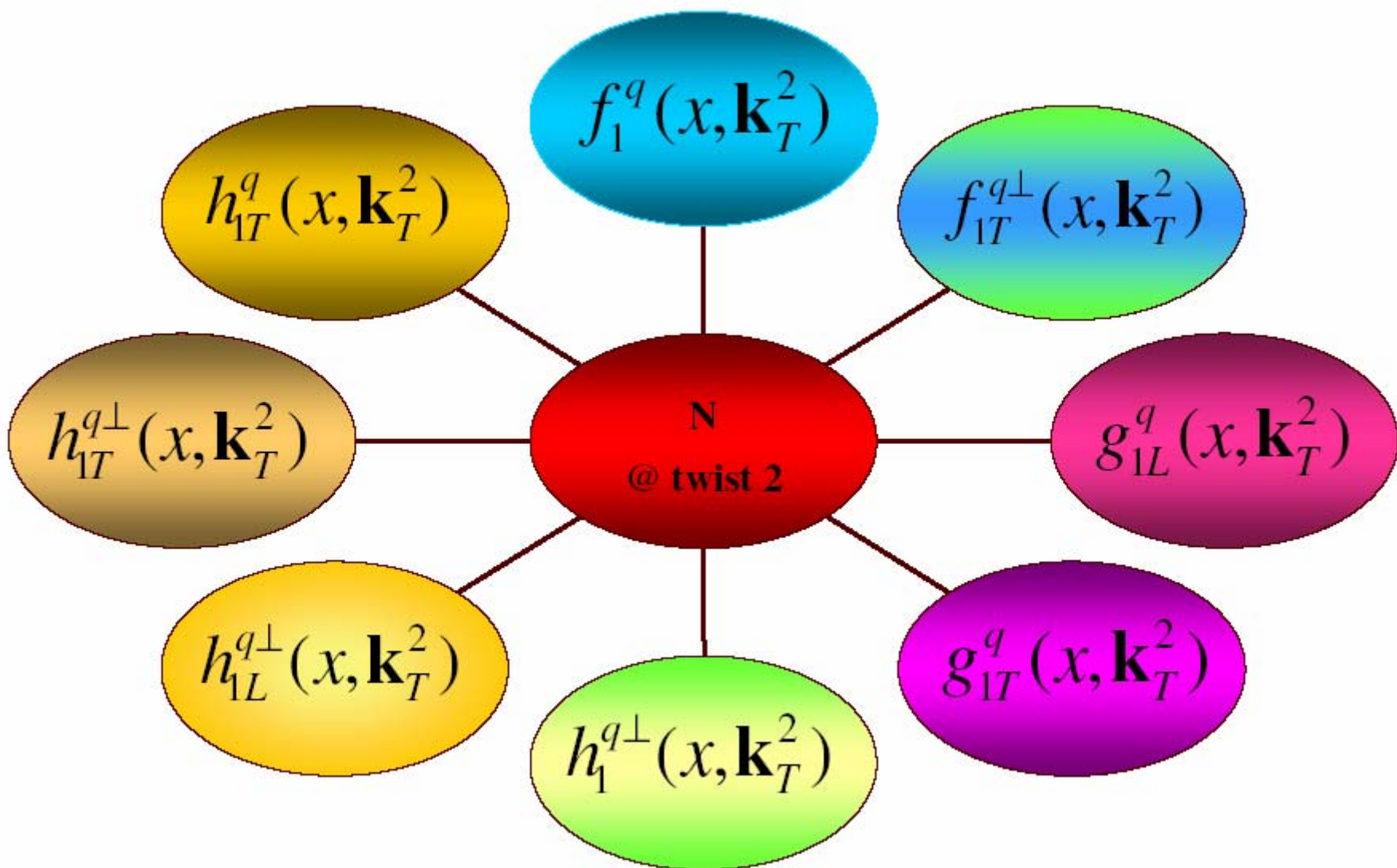
$$D_{\Lambda^{\uparrow}/q}(z, \vec{p}_{\perp}) = \frac{1}{2} D_{h/q}(z, p_{\perp}) + \frac{1}{2} \Delta^N D_{\Lambda^{\uparrow}/q}(z, p_{\perp}) \vec{S}_{\Lambda} \cdot (\hat{p}_q \times \hat{p}_{\perp})$$

## Amsterdam group notations

$$\Delta^N f_{q^{\uparrow}/p} = -\frac{k_{\perp}}{M} h_1^{\perp q}$$

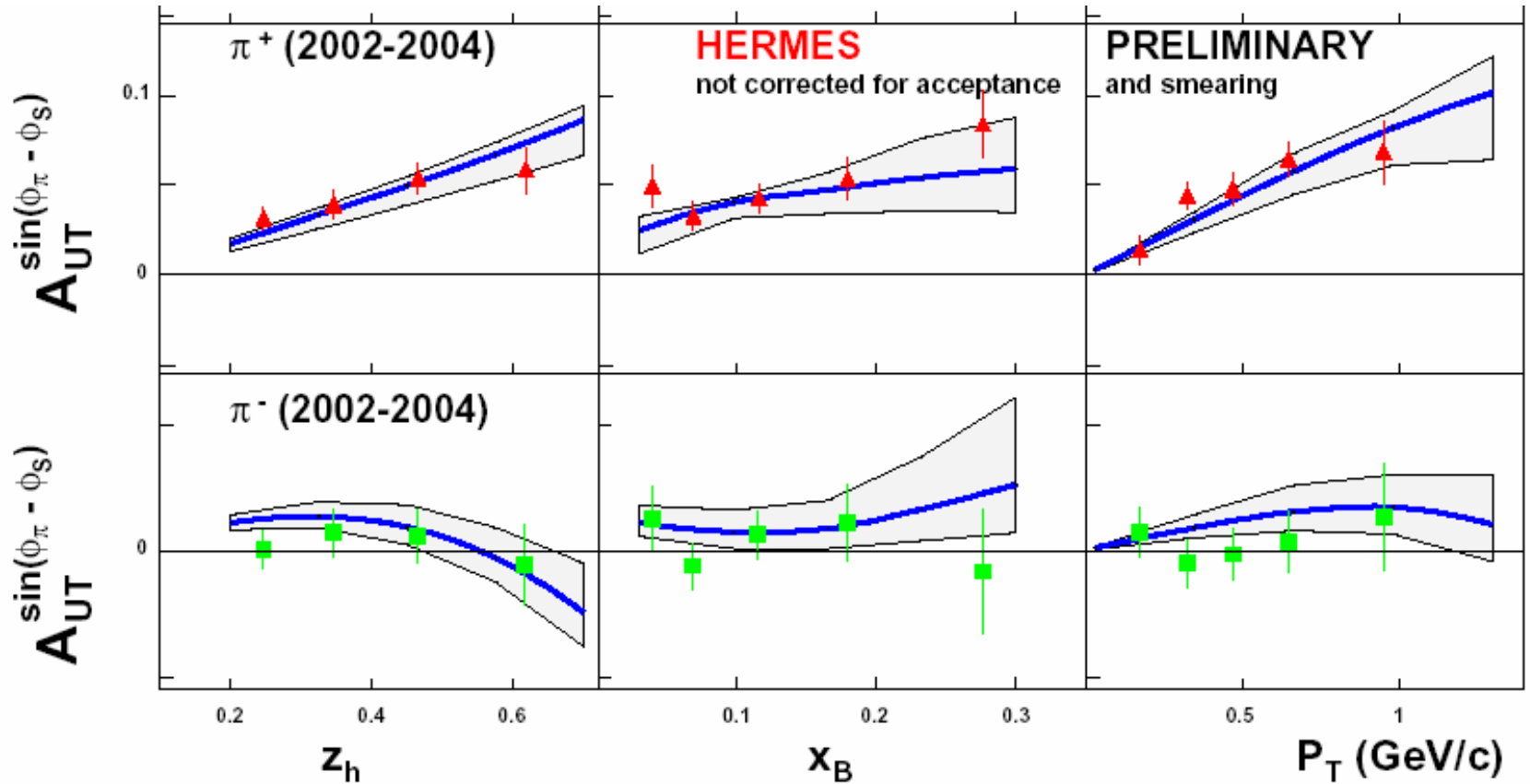
$$\Delta^N D_{\Lambda^{\uparrow}/q} = 2 \frac{p_{\perp}}{z M_{\Lambda}} D_{1T}^{\perp q}$$

## 8 leading-twist $\text{spin-}k_{\perp}$ dependent distribution functions



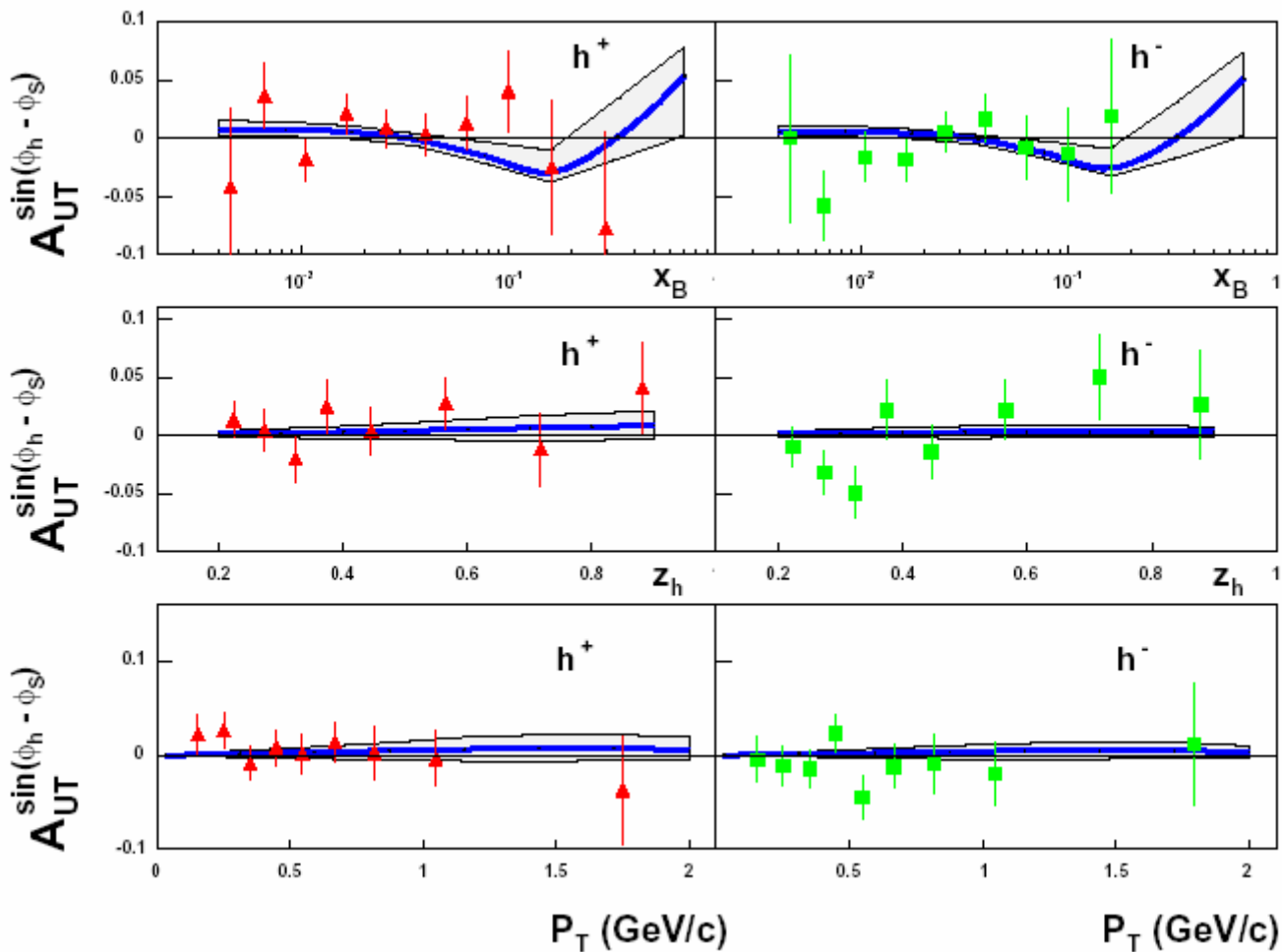
# $A_{UT}^{\sin(\Phi-\Phi_S)}$ from Sivers mechanism

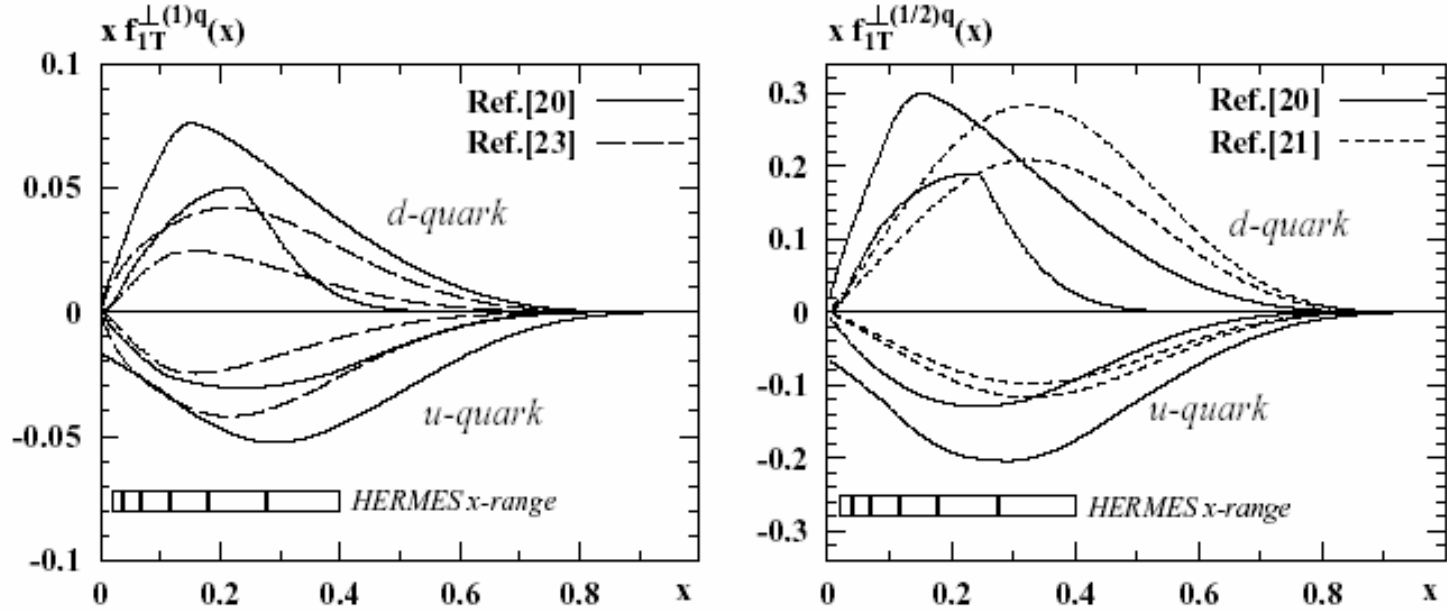
M.A., U.D'Alesio, M.Boglione, A.Kotzinian, A Prokudin



Deuteron target

$$A_{UT}^{\sin(\Phi_h - \Phi_S)} \propto \left( \Delta^N f_{u/p^\uparrow} + \Delta^N f_{d/p^\uparrow} \right) (4D_u^h + D_d^h)$$

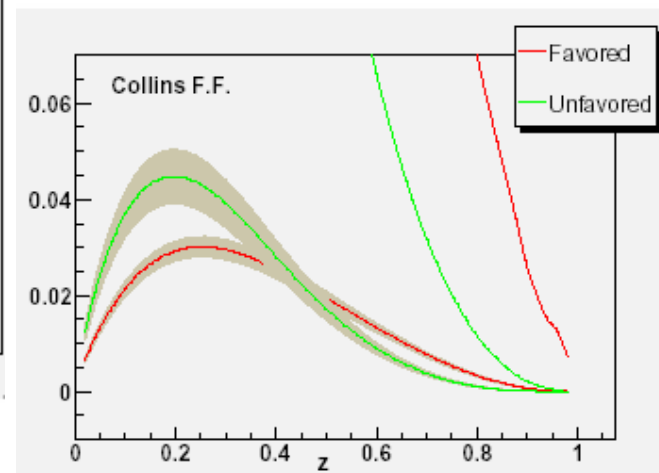
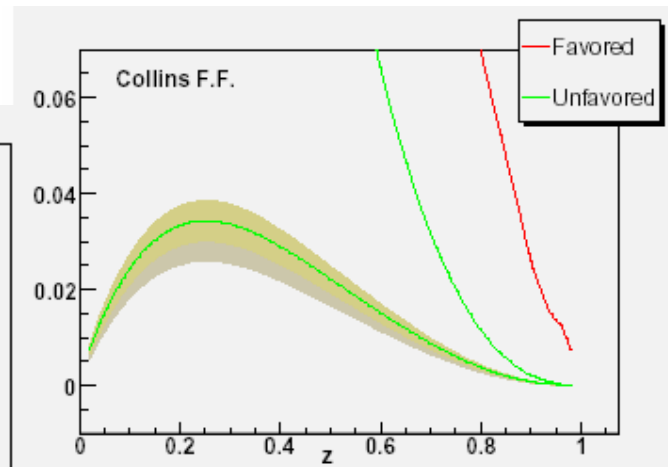
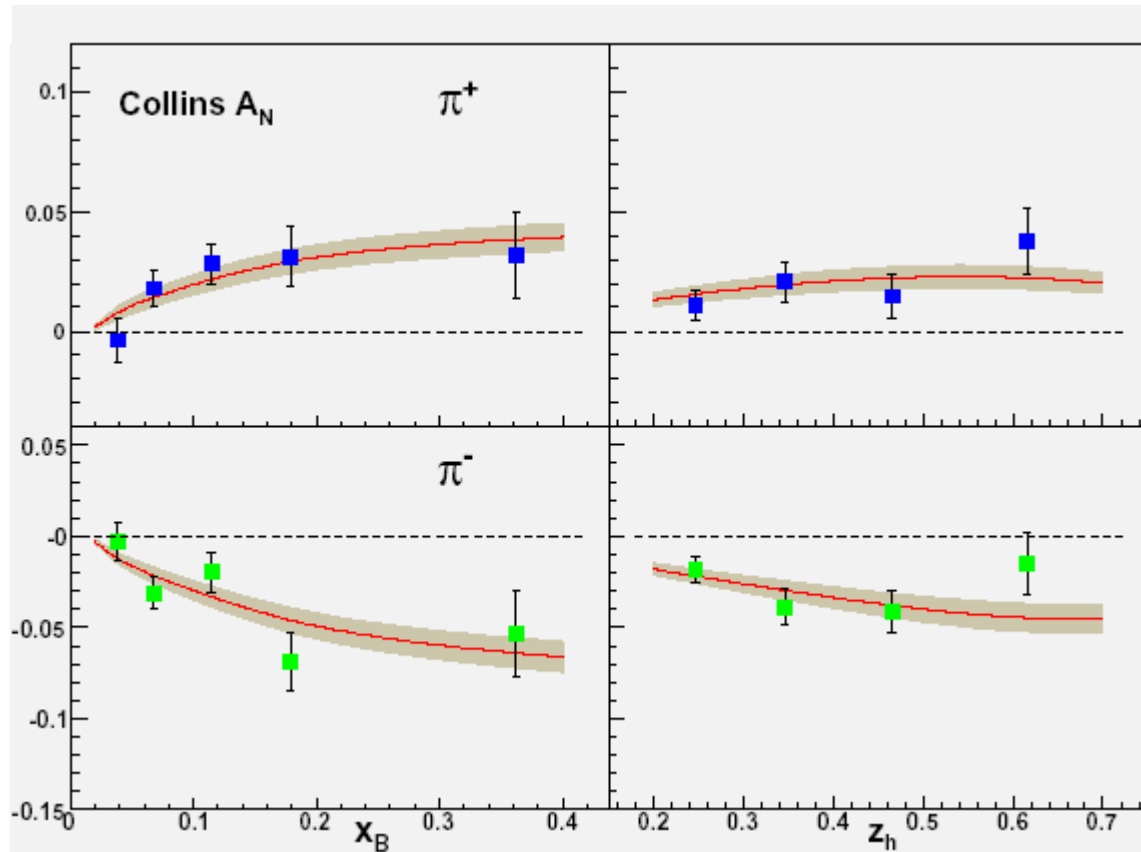




The first and 1/2-transverse moments of the **Sivers quark distribution functions**. The fits were constrained mainly (or solely) by the preliminary HERMES data in the indicated  $x$ -range. The curves indicate the 1- $\sigma$  regions of the various parameterizations.

$$f_{1T}^{\perp(1)q} = \int d^2 \vec{k}_{\perp} \frac{k_{\perp}^2}{2M^2} f_{1T}^{\perp q}(x, k_{\perp}) \quad f_{1T}^{\perp(1/2)q}(x) = \int d^2 \vec{k}_{\perp} \frac{k_{\perp}}{M} f_{1T}^{\perp q}(x, k_{\perp})$$

fit to HERMES data on  $A_{UT}^{\sin(\Phi_h + \Phi_S)}$



W. Vogelsang and F. Yuan

# Hadronic processes: the cross section with intrinsic $\mathbf{k}_\perp$

$$\frac{E_C d\sigma^{AB \rightarrow CX}}{d^3\mathbf{p}_C} = \sum_{a,b,c,d} \int dx_a dx_b dz d^2\mathbf{k}_{\perp a} d^2\mathbf{k}_{\perp b} d^3\mathbf{k}_{\perp C} \delta(\mathbf{k}_{\perp C} \cdot \hat{\mathbf{p}}_c) \hat{f}_{a/A}(x_a, \mathbf{k}_{\perp a}; Q^2) \hat{f}_{b/B}(x_b, \mathbf{k}_{\perp b}; Q^2) \frac{\hat{s}^2}{\pi x_a x_b z^2 s} J(\mathbf{k}_{\perp C}) \frac{d\hat{\sigma}^{ab \rightarrow cd}}{d\hat{t}}(\hat{s}, \hat{t}, \hat{u}, x_a, x_b) \delta(\hat{s} + \hat{t} + \hat{u}) \hat{D}_{C/c}(z, \mathbf{k}_{\perp C}; Q^2),$$

intrinsic  $\mathbf{k}_\perp$  in distribution and fragmentation functions  
and in elementary interactions

factorization is assumed, not proven in general; some progress for Drell-Yan processes, two-jet production, Higgs production via gluon fusion (Ji, Ma, Yuan; Collins, Metz; Bacchetta, Bomhof, Mulders, Pijlman)

# The polarized cross section with intrinsic $\mathbf{k}_\perp$

$$\frac{E_C d\sigma^{(A,S_A)+(B,S_B)\rightarrow C+X}}{d^3\mathbf{p}_C} = \sum_{a,b,c,d,\{\lambda\}} \int \frac{dx_a dx_b dz}{16\pi^2 x_a x_b z^2 S} d^2\mathbf{k}_\perp a d^2\mathbf{k}_\perp b d^3\mathbf{k}_\perp c \delta(\mathbf{k}_\perp c \cdot \hat{\mathbf{p}}_c) J(\mathbf{k}_\perp c) \quad (1)$$

$$\times \rho_{\lambda_a, \lambda'_a}^{a/A, S_A} \hat{f}_{a/A, S_A}(x_a, \mathbf{k}_\perp a) \rho_{\lambda_b, \lambda'_b}^{b/B, S_B} \hat{f}_{b/B, S_B}(x_b, \mathbf{k}_\perp b)$$

$$\times \hat{M}_{\lambda_c, \lambda_d; \lambda_a, \lambda_b} \hat{M}_{\lambda'_c, \lambda'_d; \lambda'_a, \lambda'_b}^* \delta(\hat{s} + \hat{t} + \hat{u}) \hat{D}_{\lambda_c, \lambda'_c}^{\lambda_C, \lambda'_C}(z, \mathbf{k}_\perp c),$$

$$\rho_{\lambda_a, \lambda'_a}^{a/A, S_A}$$

helicity density matrix of parton **a** inside polarized hadron **A**

$$\hat{M}_{\lambda_c, \lambda_d; \lambda_a, \lambda_b}$$

pQCD helicity amplitudes

$$D_{\lambda_c, \lambda'_c}^{\lambda_C, \lambda'_C}$$

product of fragmentation amplitudes



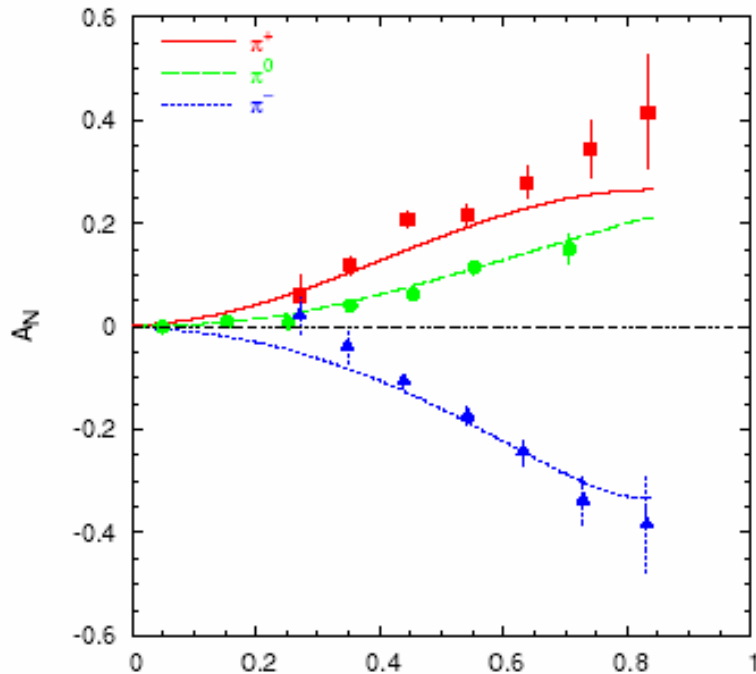
# SSA in $p\uparrow p \rightarrow \pi X$

$$d\sigma^\uparrow - d\sigma^\downarrow \simeq \Delta^N f_{a/p\uparrow} \otimes f_{b/p} \otimes d\hat{\sigma} \otimes D_{\pi/c} \\ + h_{1a} \otimes f_{b/p} \otimes d\Delta\hat{\sigma} \otimes \Delta^N D_{\pi/c^\dagger}$$

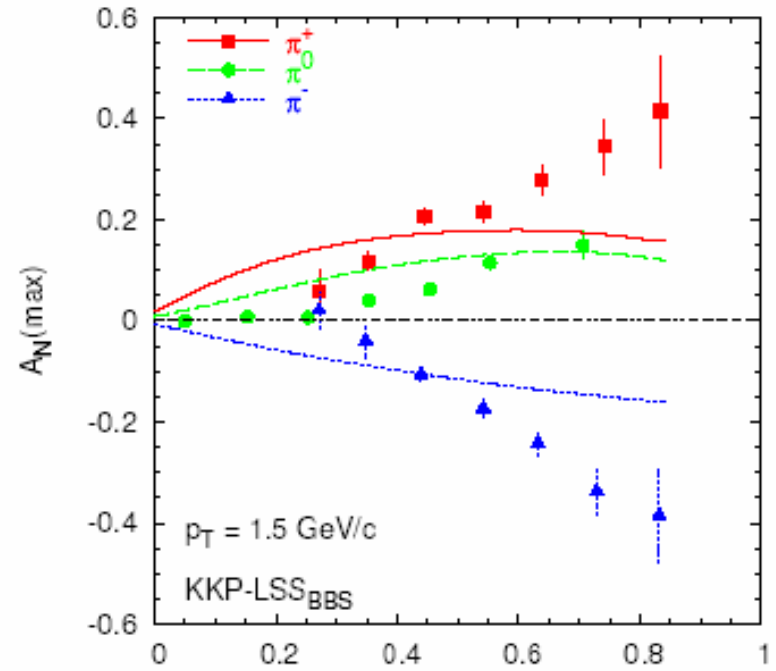
“Sivers effect”

“Collins effect”

E704 data,  $E = 200$  GeV

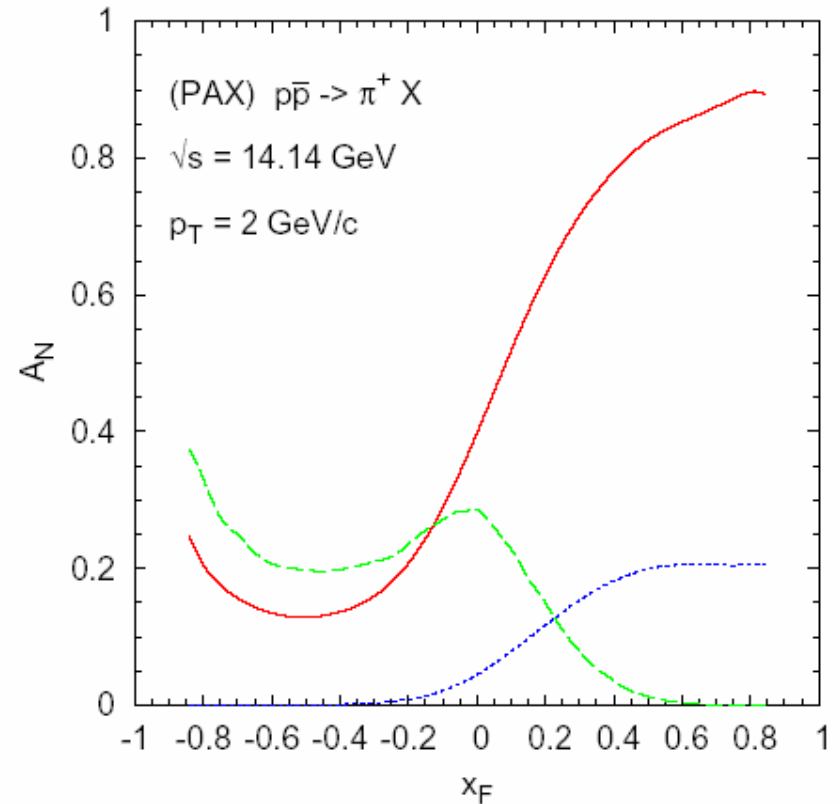
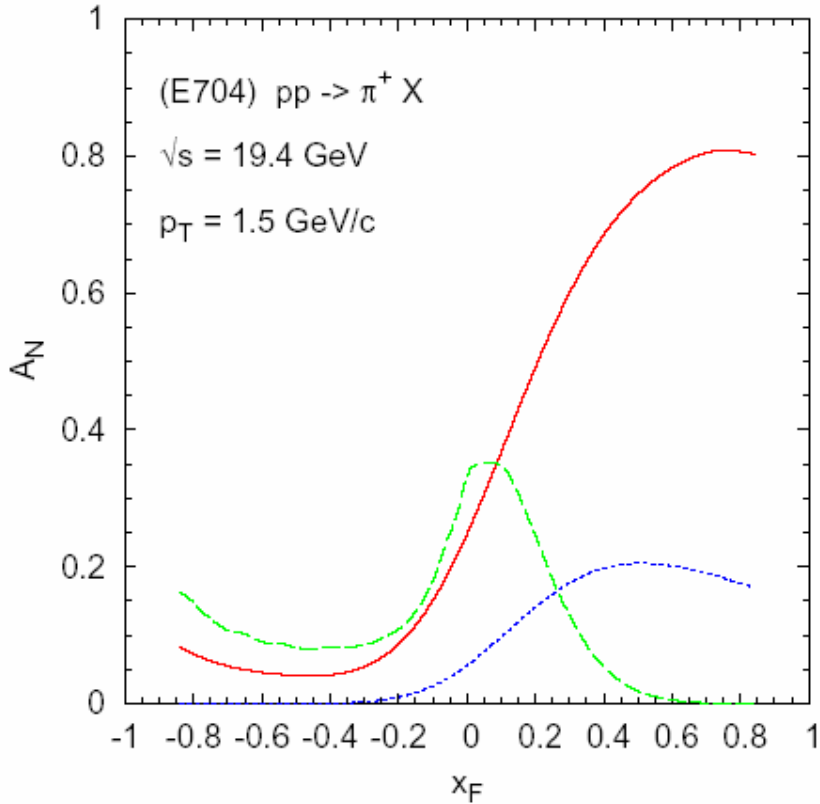


fit to  $A_N^{x_F}$  with Sivers effects alone



maximized value of  $A_N^{x_F}$  with Collins effects alone

# Special channels available with antiprotons – Results from U. D'Alesio



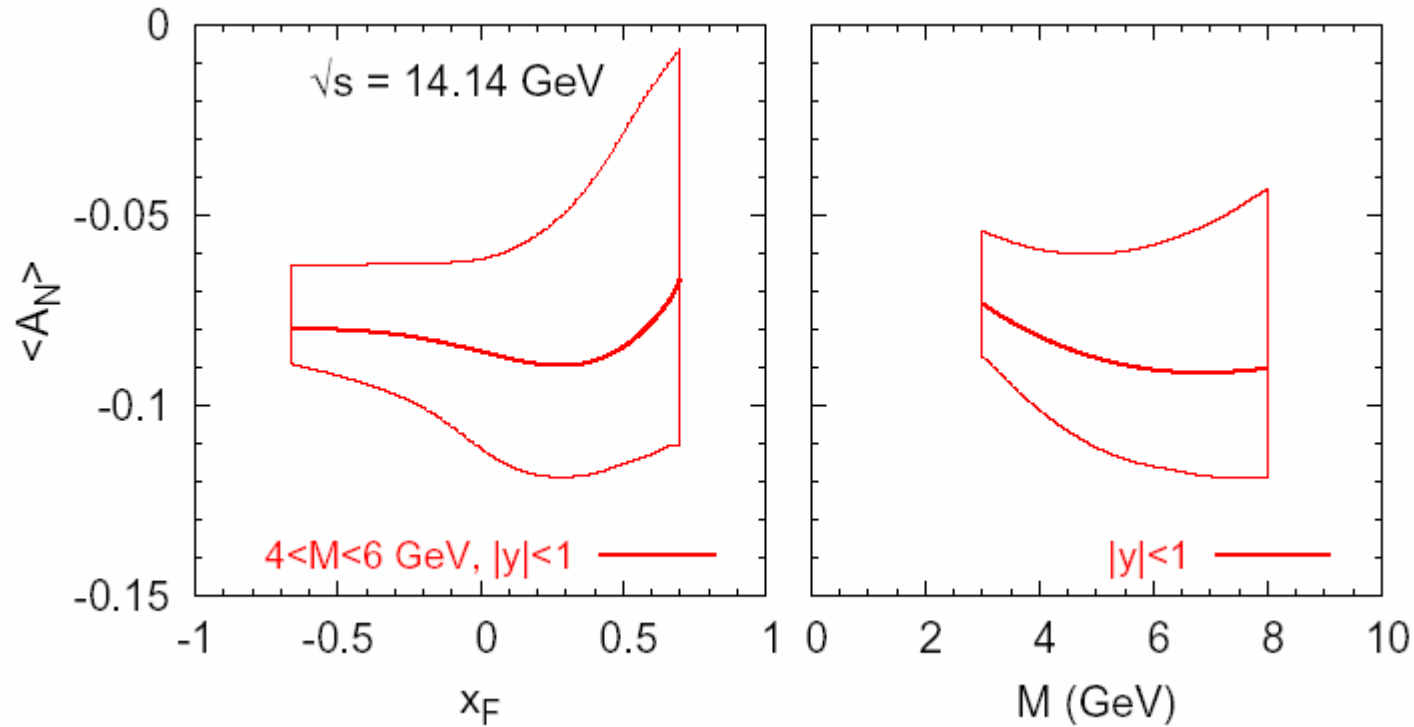
Maximised (i.e., saturating positivity bounds) contributions to  $A_N$

- quark Sivers contribution
- - - gluon Sivers contribution
- ..... Collins contribution

$$p^\uparrow \bar{p} \rightarrow \pi^+ X$$

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

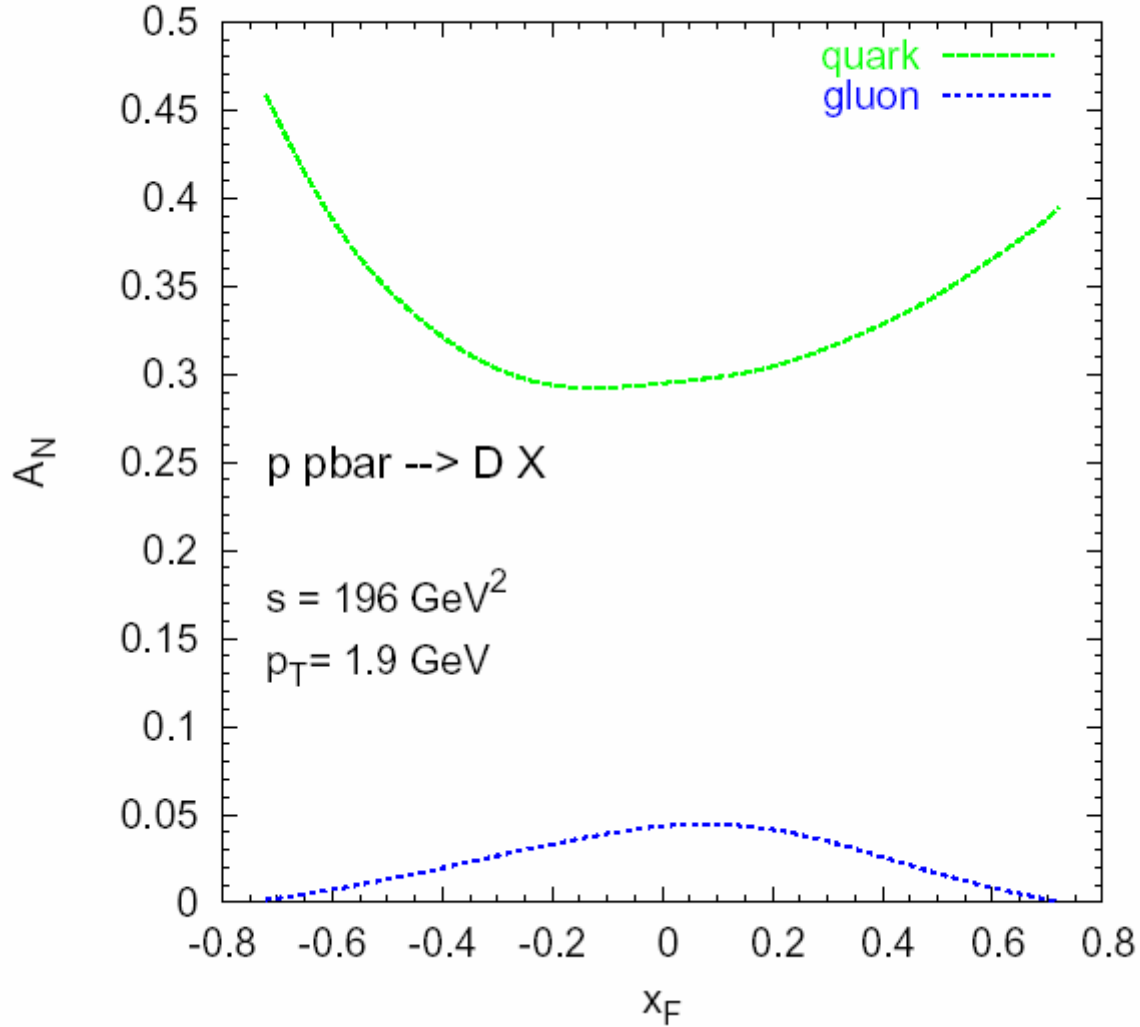
## Predictions for $A_N$ in D-Y processes



$$p^\uparrow \bar{p} \rightarrow l^+ l^- X$$

Sivers function from **SIDIS** data, large asymmetry and cross section expected

### PAX - saturated Siverson functions



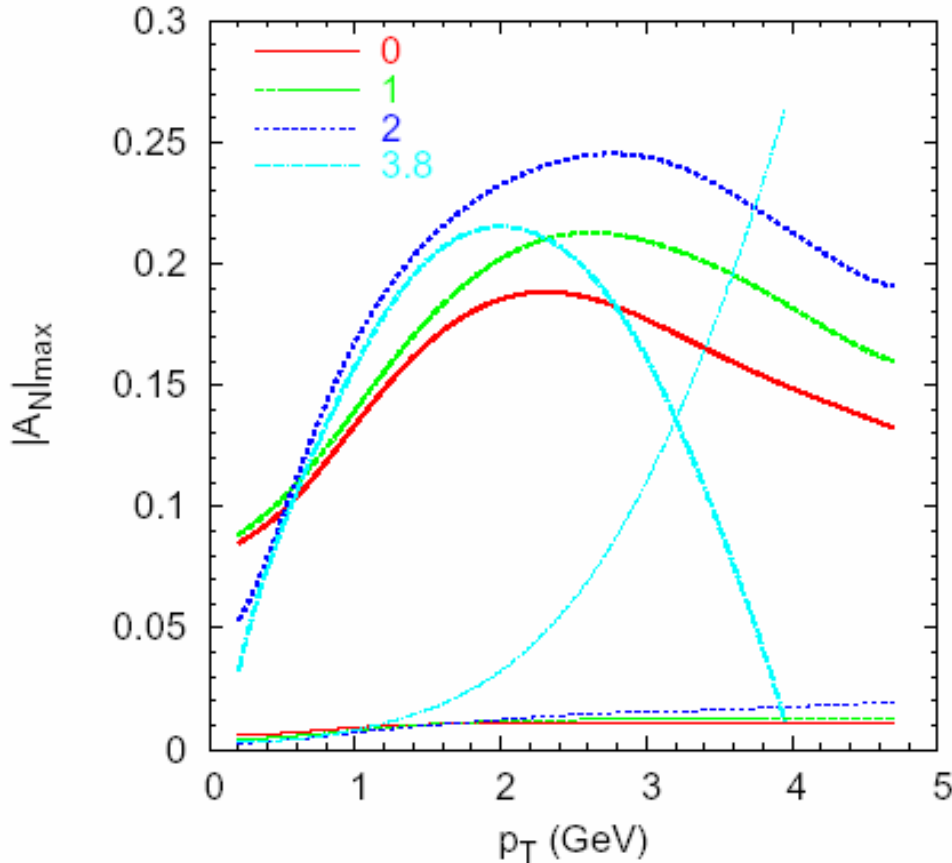
$$p \uparrow \bar{p} \rightarrow D X$$

at PAX, contrary to RHIC, dominates the  $q\bar{q} \rightarrow c\bar{c}$  channel:

# SSA in $p\uparrow p \rightarrow D X$

$$d\sigma^\uparrow - d\sigma^\downarrow \propto \sum_q \Delta^N f_{q/p^\uparrow} \otimes f_{\bar{q}/p} \otimes d\hat{\sigma}^{q\bar{q} \rightarrow Q\bar{Q}} \otimes D_{D/Q} \\ + \Delta^N f_{g/p^\uparrow} \otimes f_{g/p} \otimes d\hat{\sigma}^{gg \rightarrow Q\bar{Q}} \otimes D_{D/Q}$$

$E_{\text{cm}} = 200 \text{ GeV}$



only Sivers effect: no transverse spin transfer in  $q\bar{q} \rightarrow Q\bar{Q}$ ,  $gg \rightarrow Q\bar{Q}$

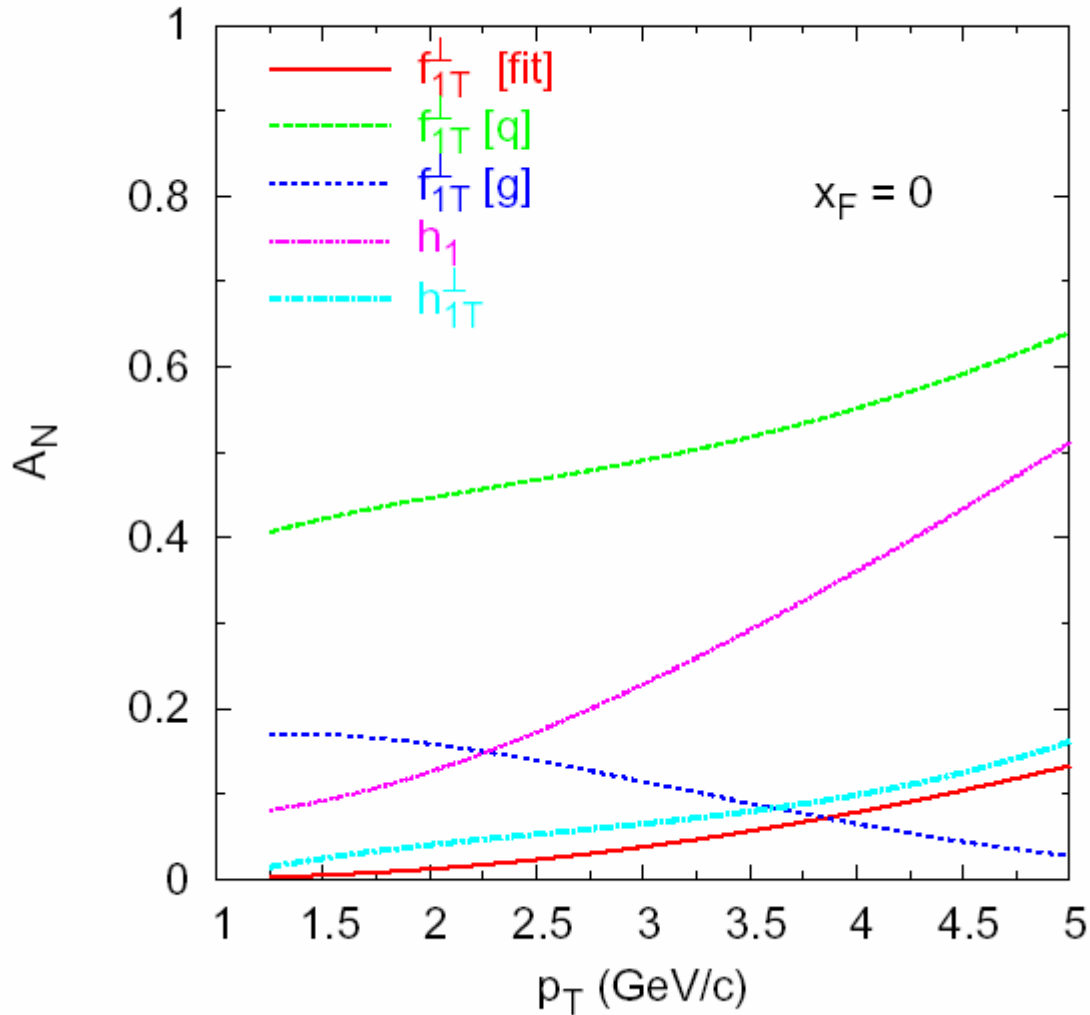
dominance of gluonic channel, access to gluon Sivers function

$|A_N|_{\text{max}} =$  assuming saturated Sivers function

$$\Delta^N f_{a/p^\uparrow} = 2f_{a/p}$$

(thick lines :  $gg \rightarrow Q\bar{Q}$ , thin lines :  $q\bar{q} \rightarrow Q\bar{Q}$   
0, 1, 2, 3.8 denote rapidities)

ppbar -->  $\gamma X$  -- PAX  $E_{CM} = 14$  GeV



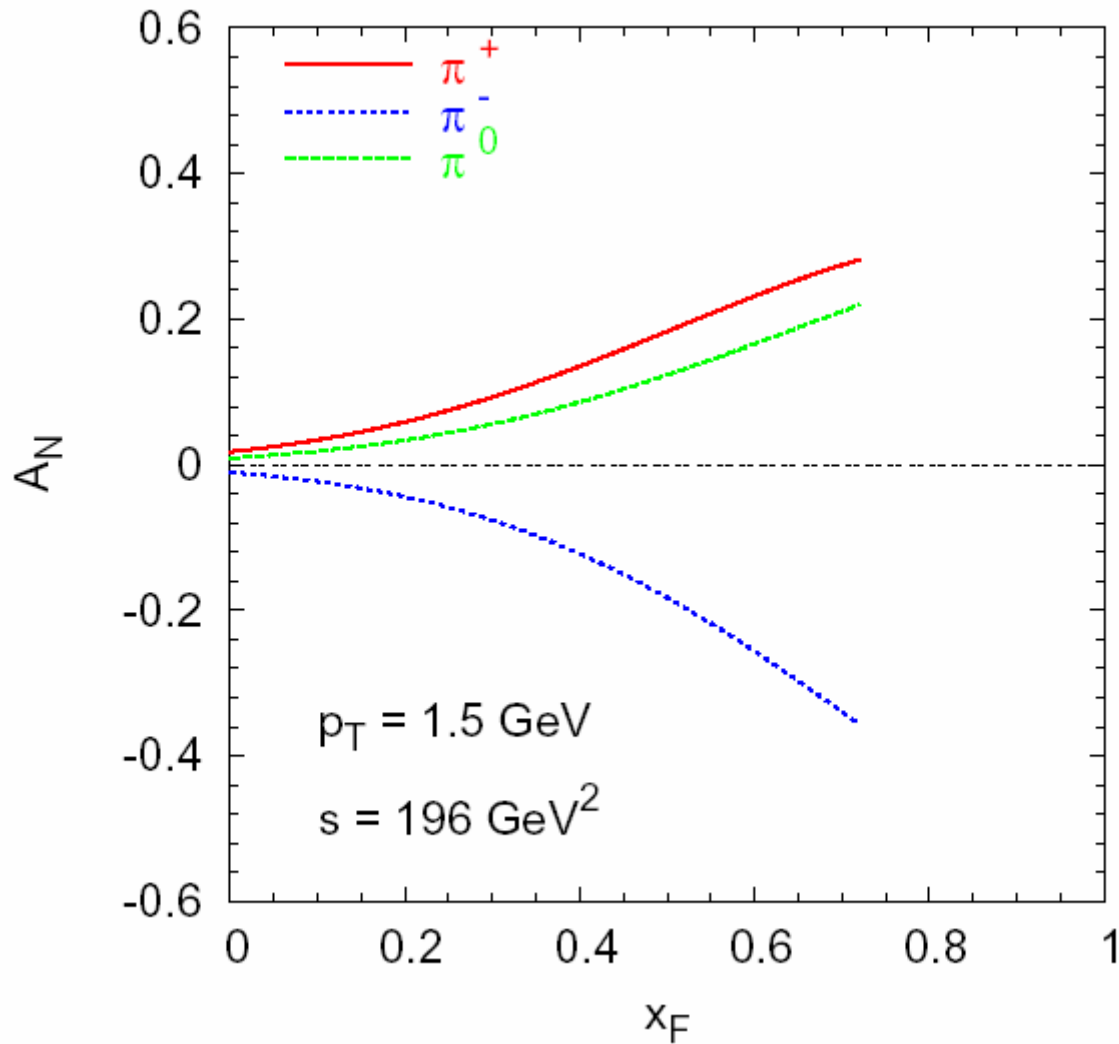
—  
 predictions based on  
 Sivers functions  
 extracted from fitting  
 E704 data

—  
 maximised contributions  
 from  $h_1$  times B-M, not  
 suppressed by phases

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

$$p^\uparrow \bar{p} \rightarrow \gamma X$$

$qg \rightarrow q\gamma$  and  $q\bar{q} \rightarrow g\gamma$  dominating channels



predictions based on Siverts  
 functions from E704 data

$$p \uparrow \bar{p} \rightarrow \pi^{+,0,-} X$$

# Conclusions

- ❑ Polarized antiprotons are the only way to access directly the transversity distribution: optimum energy at  $s \approx 200 \text{ GeV}^2$
- ❑ Unintegrated (TMD) distribution functions allow a much better description of QCD nucleon structure and hadronic interactions (necessary for correct differential distribution of final state particles, (Collins, Jung, hep-ph/0508280))
  - ❑  $k_{\perp}$  is crucial to understand observed SSA in SIDIS and pp interactions; antiprotons will add new information and allow further test of our understanding
- ❑ Spin- $k_{\perp}$  dependent distribution and fragmentation functions: towards a complete phenomenology of spin asymmetries
- ❑ Open issues: factorization, QCD evolution, universality, higher perturbative orders, ...